

# Variation of multiple configurations for cluster structures in light nuclei

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TOAMD Collaboration



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M. Lyu

# Motivation

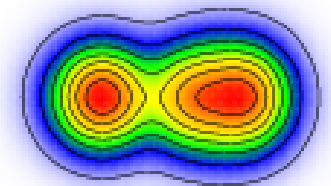
- **Configuration mixing** (Configuration interaction) is a general concept in many-body quantum systems in atomic, molecular, and nuclear physics.
- Nuclear WF:  $\Psi = \sum_n^N C_n \Phi_n$ ,  $\Phi_n = \mathcal{A}\{\prod_{i=1}^A \phi_{n,i}(\mathbf{r}_i)\}$  Slater determinant
- Purpose: Determine the optimal single-particle WF  $\{\phi_{n,i}\}$  for  $\Psi$
- Usual energy-variation: **Single configuration  $\Phi_n$  + Constraints ( $\beta, \gamma, \text{radius} \dots$ )**  
Generator Coordinate
- **Question from Lyu-san** : How to determine many parameters in  $\Psi$ ?
- **IDEA**: energy-variation of “ $\Psi$ ” directly,  $E = \langle \Psi | H | \Psi \rangle / \langle \Psi | \Psi \rangle$ ,  $\delta E = 0$
- Nuclear model : Antisymmetrized Molecular Dynamics (AMD)
- In AMD, nucleon WF:  $\phi(\mathbf{r}) \propto e^{-\nu(\mathbf{r}-\mathbf{Z})^2}$ ,  $\mathbf{Z}$ : variational parameter

# History : Variation of multiple configurations

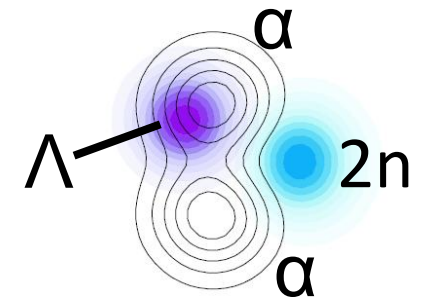
- Hartree-Fock approach
  - Atomic and Molecular physics, Chemistry (1960s - )
  - Faessler, Schmid, Plastino Nucl. Phys. A174, 26 (1971)
  - Ogawa, Toki Annals of Physics 326, 209 (2011)  
**2p-2h mixing with high-momentum from tensor force (pion-exchange)**
  - Pillet, Robin, Dupuis, Hupin, Berger Eur. Phys. J. A53, 49 (2017)  
**Many-particle many-hole in Gogny-HF with truncation**
  - Matsumoto, Tanimura, Hagino Phys. Rev. C108, L051302 (2023)  
**Optimal Generator coordinate in Skyrme-HF**
- Shell model : **Monte-Carlo SM, Conjugate Gradient Method**, Shimizu et al.  
Physics 2022, 1081 (2022)
- Cluster model : Generate multi-bases with **Neural Network**, Lyu et al.,

# Motivation

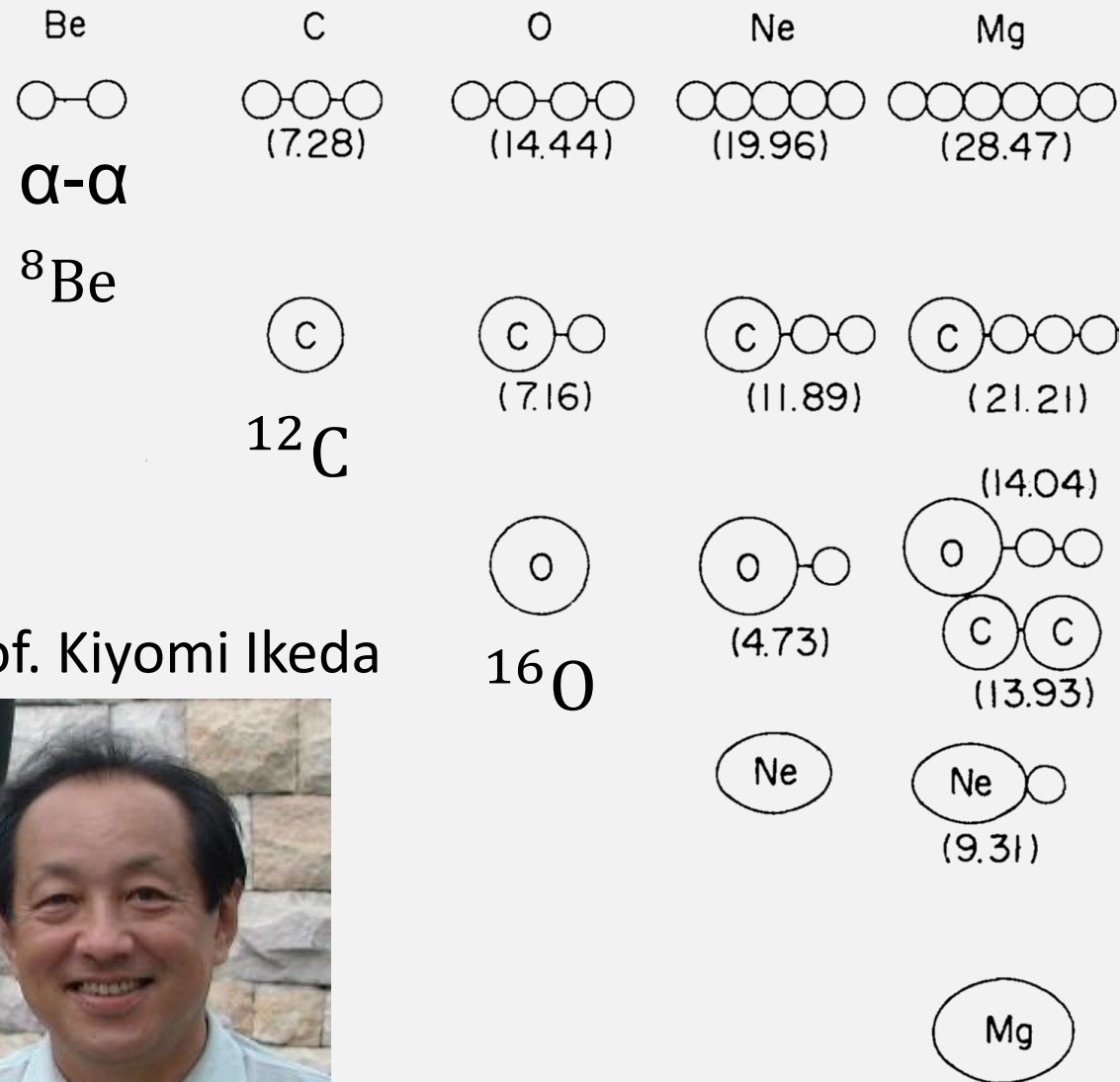
- **Purpose** : Optimize multi AMD bases simultaneously in the superposition
- Future application to ab-initio type methods with realistic nuclear force
- **TOAMD**: Tensor-Optimized AMD  
Myo et al., Phys. Lett. B769 (2017) 213, Lyu et al., Phys. Lett. B805 (2020) 135421
- Present results : p-shell nuclei with effective interaction
- **$^{10}\text{Be}$**  : various clustering such as linear chain shape  
Phys. Rev. C 108 (2023) 064314
- Cluster configurations in **Li isotopes** PTEP 2025 (2025) 013D01
- **$\Lambda$ -hypernuclei** in Be, B isotopes predicted via **Neural Network**  
by Lyu et al. Phys. Lett. B855 (2024) 138816, B862 (2025) 139338



$\alpha+6\text{He}$



# Nuclear cluster states indicated by Ikeda diagram



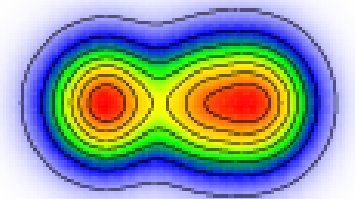
- Ikeda, Takigawa, Horiuchi, Prog. Theor. Phys. Suppl. E68, 464 (1968).
- Systematic Structure-Change into the **Molecule-like Structures** in the Self-Conjugate  $4N$  Nuclei
- Numbers are threshold energies of cluster emissions
- **Threshold Rule :** It is suggested that cluster states can appear near the threshold energies due to weak binding in relative motions.

Prof. Kiyomi Ikeda

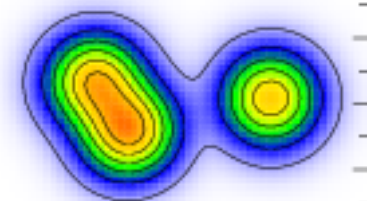


# Motivation

- In Ikeda-diagram, Prof. Ikeda discussed **Threshold Rule** for  $\alpha$  cluster states
- How about the **unstable nuclei** with excess protons/neutrons?
- Threshold energies of nucleon/cluster emissions.
- $^{10}\text{Be}$  :  $^9\text{Be}+n$  (6.8 MeV),  $^6\text{He}+\alpha$  (7.4 MeV),  $2\alpha+2n$  (8.5 MeV)
- $^9\text{Li}$  :  $^8\text{Li}+n$  (4.1 MeV),  $^7\text{Li}+2n$  (6.1 MeV),  $^6\text{He}+t$  (7.6 MeV),  
 $\alpha+t+2n$  (8.6 MeV)
- The present work is worthy to examine the threshold rule for unstable nuclei.



$\alpha+^6\text{He}$



$^6\text{He}+t$

# Single AMD Basis

Kanada-En'yo, Kimura, Horiuchi, Compt. Rendus Phys. **4**, 497 (2003)



# Variation (Cooling) in AMD : Single basis

- $\Phi_{\text{AMD}} = \det\{\prod_{i=1}^A \phi_{\tau_i}(\mathbf{r}_i, \mathbf{Z}_i, \alpha_i)\}$   $i$ : particle index
- $\mathbf{Z}_i$ : Centroid vector,  $\alpha_i$ : spin (up/down),  $\tau_i$ : isospin
- $\phi_{\tau}(\mathbf{r}, \mathbf{Z}, \alpha) = \left(\frac{2\pi}{\nu}\right)^{3/4} e^{-\nu(\mathbf{r}-\mathbf{Z})^2} \chi_{\sigma\alpha} \chi_{\tau}$ ,  $\chi_{\sigma\alpha} = \alpha_+ |\uparrow\rangle + \alpha_- |\downarrow\rangle$ ,  $\chi_{\tau}$ : p, n
- $E = \frac{\langle \Phi | H | \Phi \rangle}{\langle \Phi | \Phi \rangle} = E[\mathbf{Z}, \alpha]$
- $\hbar \frac{d\mathbf{Z}_i}{d\tau} = -\frac{\partial E}{\partial \mathbf{Z}_i^*}$ ,  $\hbar \frac{d\mathbf{Z}_i^*}{d\tau} = -\frac{\partial E}{\partial \mathbf{Z}_i}$ ,  $\tau$ : imaginary time
- $\frac{dE}{d\tau} = \sum_{i=1}^A \left( \frac{\partial E}{\partial \mathbf{Z}_i} \frac{d\mathbf{Z}_i}{d\tau} + \frac{\partial E}{\partial \mathbf{Z}_i^*} \frac{d\mathbf{Z}_i^*}{d\tau} \right) = -2\hbar \sum_{i=1}^A \frac{d\mathbf{Z}_i}{d\tau} \frac{d\mathbf{Z}_i^*}{d\tau} < 0$  : energy minimization

Similar to Gradient  
Descent Method

Cooling equation

# Multiple AMD Bases

- T. Myo et al. ,  $^{10}\Lambda\text{Be}$  Phys. Rev. C 108 (2023) 064314  
Li isotopes PTEP 2025 (2025) 013D01
- $^{9-11}\Lambda\text{Be}$ ,  $^{12}\Lambda\text{B}$  by M. Lyu et al.  
Phys. Lett. B855 (2024) 138816 , Phys. Lett. B862 (2025) 139338

# Multi bases cooling : Multiple Cooling (Multicool)

- $\Phi = C_1 \Phi_1 + C_2 \Phi_2 + \dots + C_N \Phi_N$ ,  $N_{mn} := \langle \Phi_m | \Phi_n \rangle \neq 0$  : non-orthogonal norm
- $E = \frac{\langle \Phi | H | \Phi \rangle}{\langle \Phi | \Phi \rangle} = \frac{\sum_{m,n} C_m^* C_n H_{mn}}{\sum_{m,n} C_m^* C_n N_{mn}} = E[\mathbf{X}_1, \mathbf{X}_2, \dots, \mathbf{X}_N]$
- $X_{n,i} = \{C_n, \mathbf{Z}_{n,i}, \alpha_{n,i}\}$ ,  $n$ : basis index,  $i$ : particle index
- $\hbar \frac{dX_{n,i}}{d\tau} = -\frac{\partial E}{\partial X_{n,i}^*}$ ,  $\hbar \frac{dX_{n,i}^*}{d\tau} = -\frac{\partial E}{\partial X_{n,i}}$ , satisfying  $\frac{dE}{d\tau} < 0$
- Same technique is used to determine the correlation function  $F$  in TOAMD
  - $F = \sum_{i < j}^A f(r_{ij})$  : make 2p-2h excitations
  - $\{a_n\}$  in  $f(r) = \sum_n C_n e^{-a_n r^2}$  with Gaussian expansion

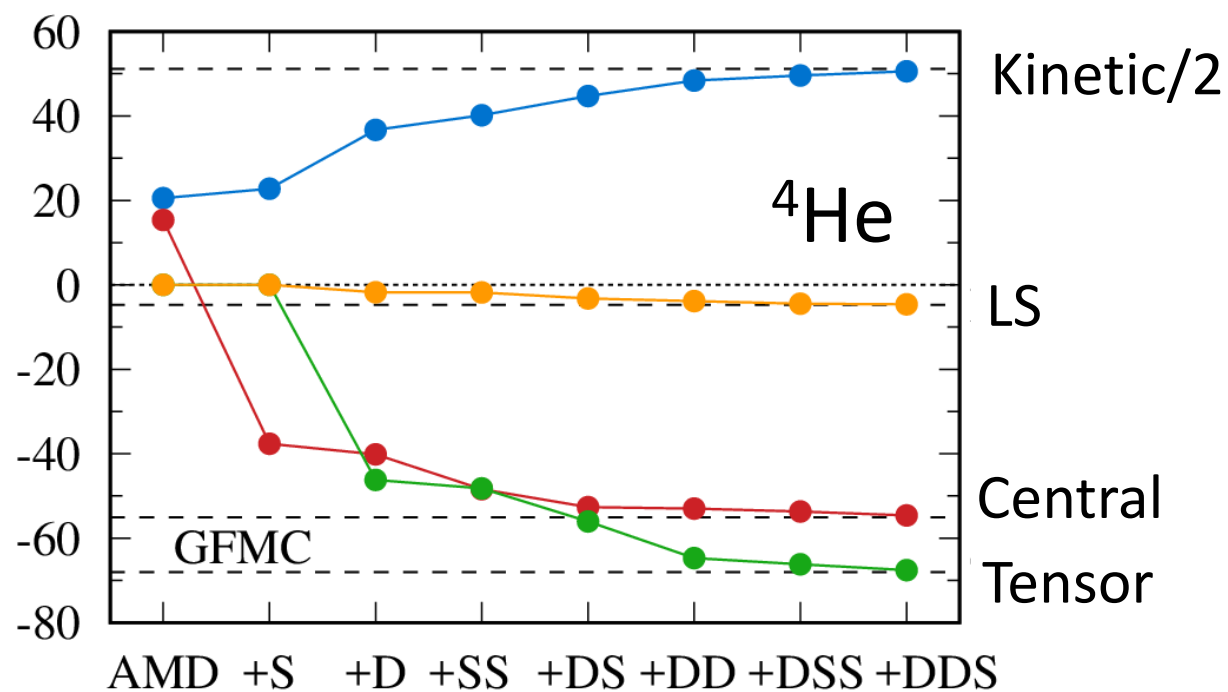
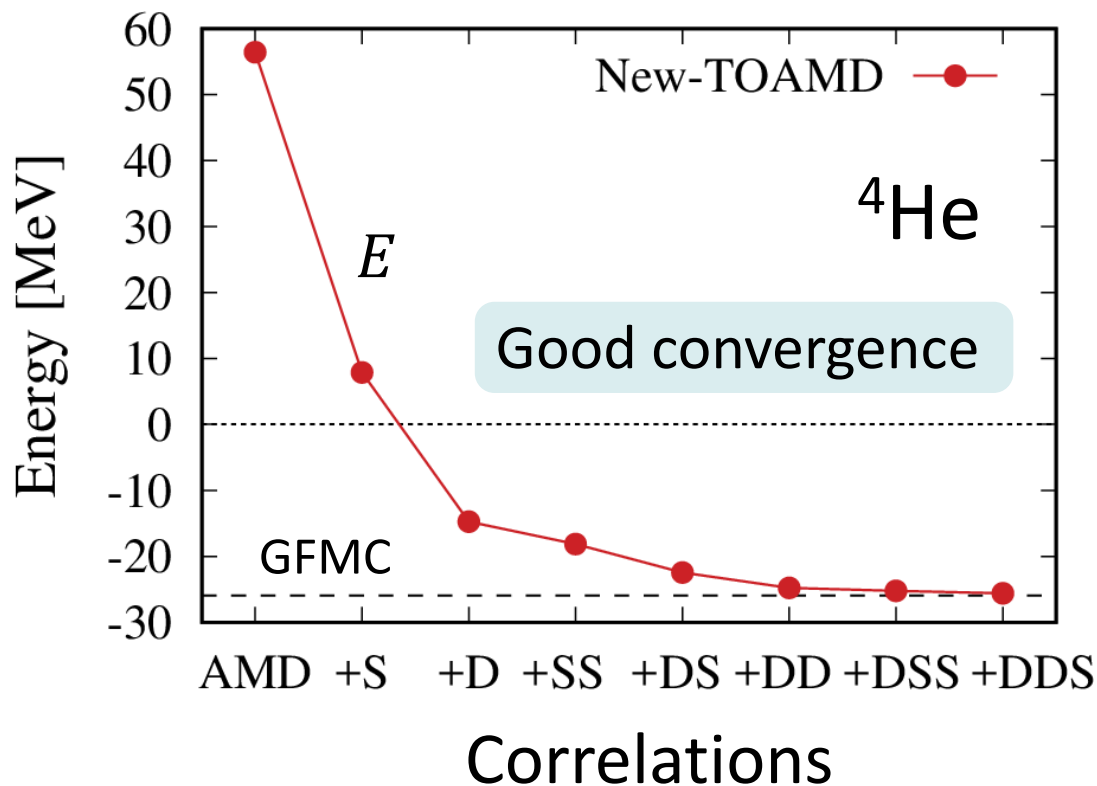
TM et al., PLB769 (2017)

# AMD with bare nuclear force using Triple $F$

$$F = \sum_{i < j}^A f_{ij}$$

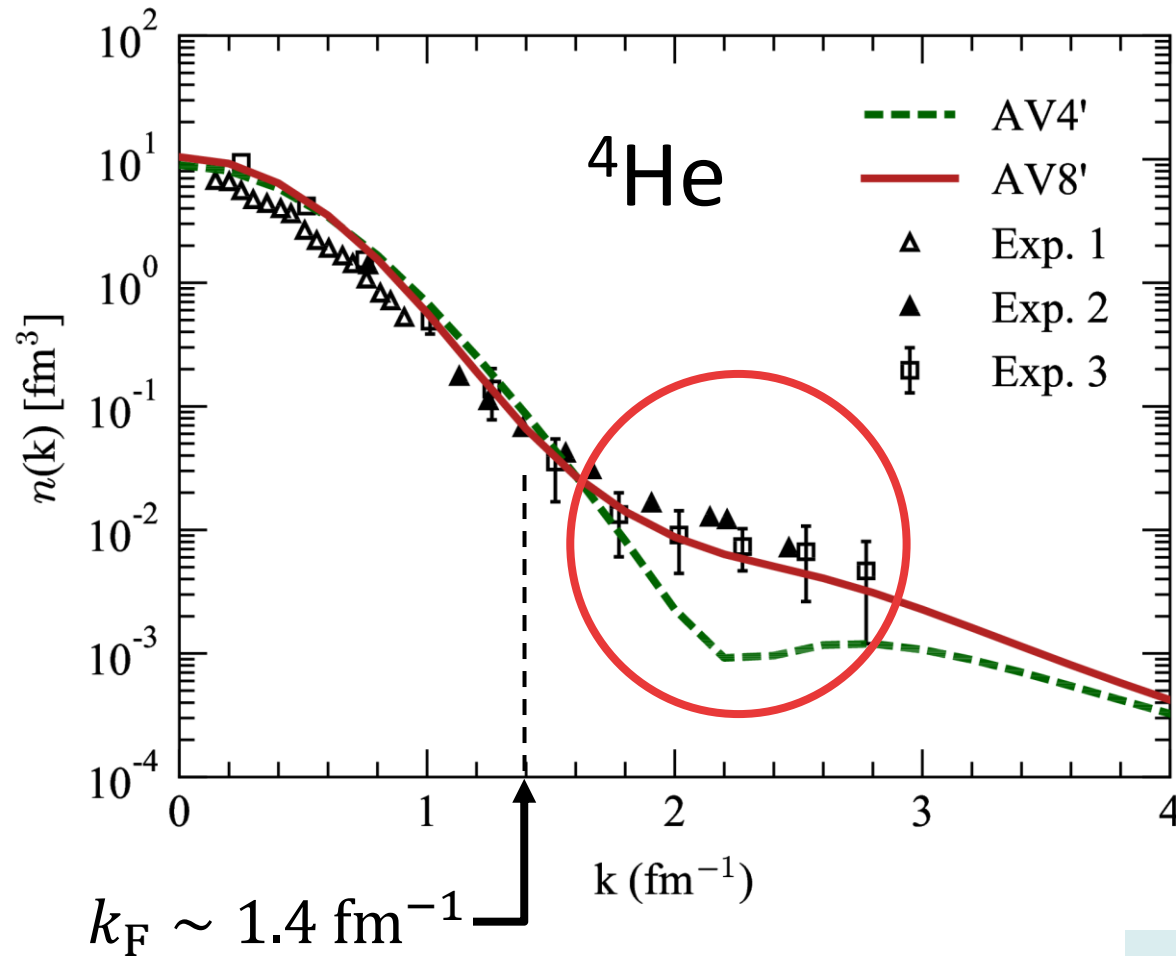
2p-2h

- $(1 + F_S + F_D + F_S F_S + F_D F_S + F_D F_D + F_D F_S F_S + F_D F_D F_S) |\Phi_{AMD}\rangle$
- AV8' realistic potential,  $F_S$ : Short-range correlation,  $F_D$ : Tensor correlation with D-wave ( $\Delta L=2$ )





# Lyu's work : Nucleon Momentum Distribution



- $^4\text{He}$  in TOAMD
- **AV4'** Central  $V_{NN}$  with SR-repulsion (NO tensor)
- **AV8'** Realistic  $V_{NN}$
- Manifestation of tensor correlation around  $k=2 \text{ fm}^{-1}$

# Calculation procedure

1. Set initial values:  $X_n^{\text{init}} = \{C_n^{\text{init}}, \mathbf{Z}_{n,i}^{\text{init}}, \alpha_{n,i}^{\text{init}}\}$  and obtain  $E_{\text{init}}$
2. Calculate energy-derivatives  $\frac{\partial E}{\partial X_{n,i}^*} = \left\{ \frac{\partial E}{\partial C_n^*}, \frac{\partial E}{\partial \mathbf{Z}_{n,i}^*}, \frac{\partial E}{\partial \alpha_{n,i}^*} \right\}$  to change  $\{X_{n,i}\}$
3. Calculate Matrix elements  $H_{mn}, N_{mn}$  and obtain  $E = \langle H \rangle$
4. Repeat 2.-3. until getting the converging results.
5. Projection to total spin J with parity for matrix elements  $H_{mn}^{J\pi}, N_{mn}^{J\pi}$
6.  $\delta E^{J\pi} = 0 \rightarrow$  Solve eigenvalue problem:  $\sum_n^N (H_{mn}^{J\pi} - E^{J\pi} N_{mn}^{J\pi}) C_n^{J\pi} = 0$   

For ground state
7. **Extension** : Impose orthogonal condition to generate the **excited states**

# Generate the excited-state configurations

- Use **projection operator method**, often utilized in nuclear cluster model
- **Ground state** : Configurations  $\{\Phi_n\}$  obtained in multiple cooling
- $\tilde{\Phi}_n := \hat{O}\Phi_n$ ,  $\hat{O} := \{1, R_{xyz \rightarrow zxy}, R_{xyz \rightarrow yzx}\}$  : add **two rotations**
- $H_\lambda = H + V_\lambda$ ,  $V_\lambda = \lambda \sum_n^{3N} |\tilde{\Phi}_n\rangle\langle\tilde{\Phi}_n|$ , Strength  $\lambda$  [MeV] (Repulsive)
- Multicool calculation using  $H_\lambda$  from **small to large values of  $\lambda$**
- For large  $\lambda$ , **excited state**  $\Phi_{\text{ex}} = \sum_n^N C_{\text{ex},n} \Phi_{\text{ex},n}$  with  $\langle\Phi_{\text{ex}}|\tilde{\Phi}_n\rangle = 0$
- Superpose  $\Phi_n$  and  $\Phi_{\text{ex},n}$  with various  $\lambda$  (500-600 bases)

# Results

- $^{10}\text{Be}$  Phys. Rev. C 108 (2023) 064314
- Li isotopes PTEP 2025 (2025) 013D01



# Inputs in the multicool calculation

- In AMD, Gaussian  $\nu = 0.235 \text{ fm}^{-2}$
- Effective two-body force
  - Central : Volkov No.2 with  $(W,M,B,H)=(0,4, 0.6, 0.125, 0.125)$
  - LS : G3RS with a strength of 1600 MeV (Reproduce LS splitting in  $^5\text{He}$ )
- Reproduce the binding energy of deuteron (2.2 MeV)
- Variation of intrinsic WF **after parity projection**
- Basis number is typically **10-20** in multiple cooling

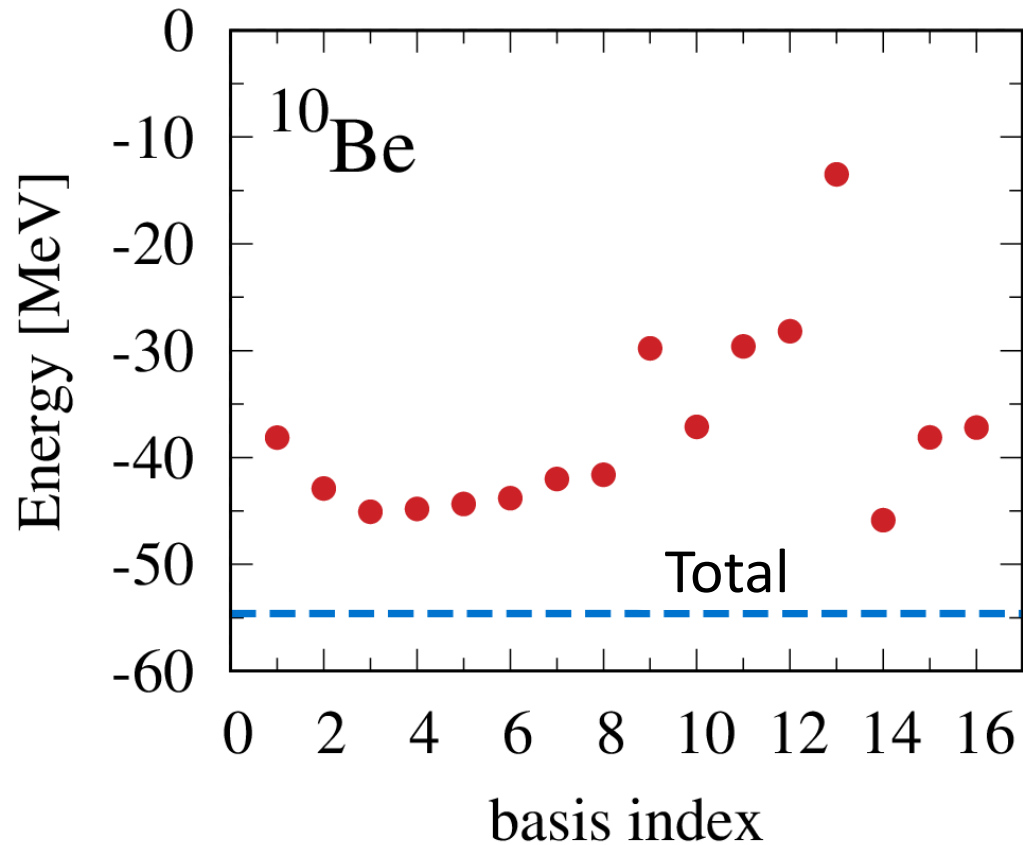
N. Itagaki and S. Okabe, Phys. Rev. C 61, 044306 (2000)

T. Suhara and Y. Kanada-En'yo, Prog. Theor. Phys. 123, 303 (2010)

# $^{10}\text{Be}$ : Ground state with 16 bases

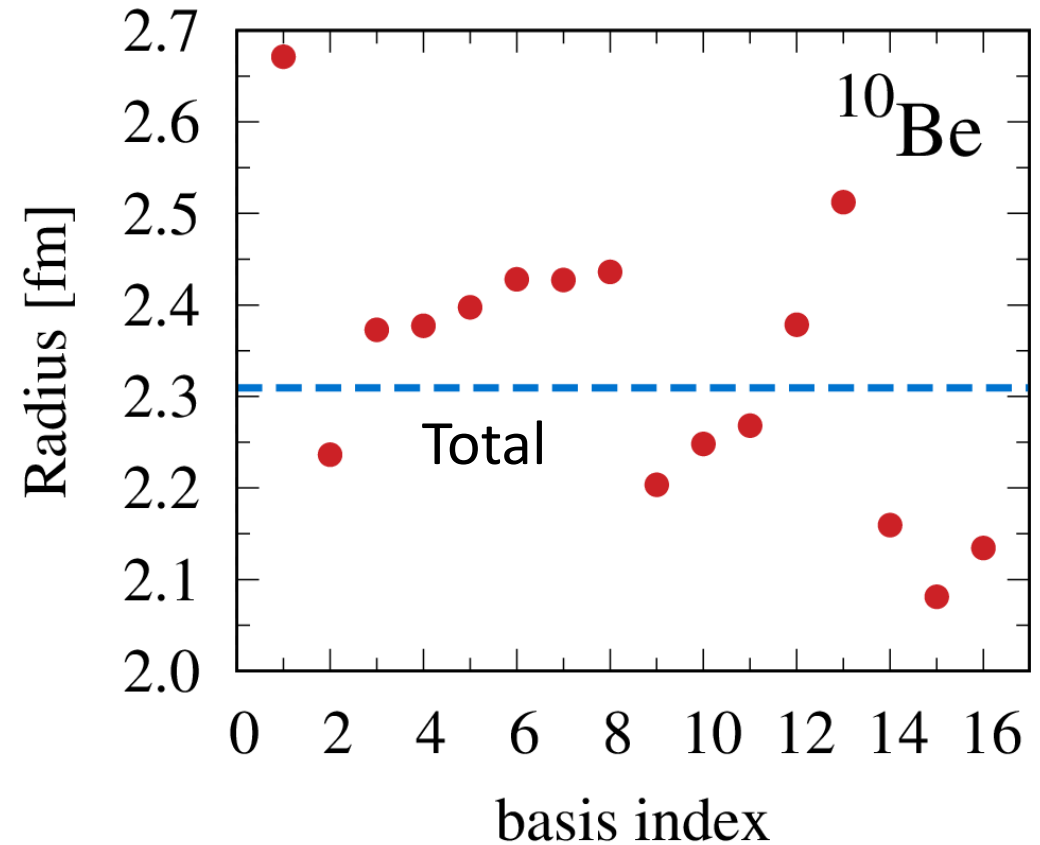
$$\Phi_{\text{total}} = \sum_n^N C_n \Phi_n$$

## Intrinsic energies (MeV)

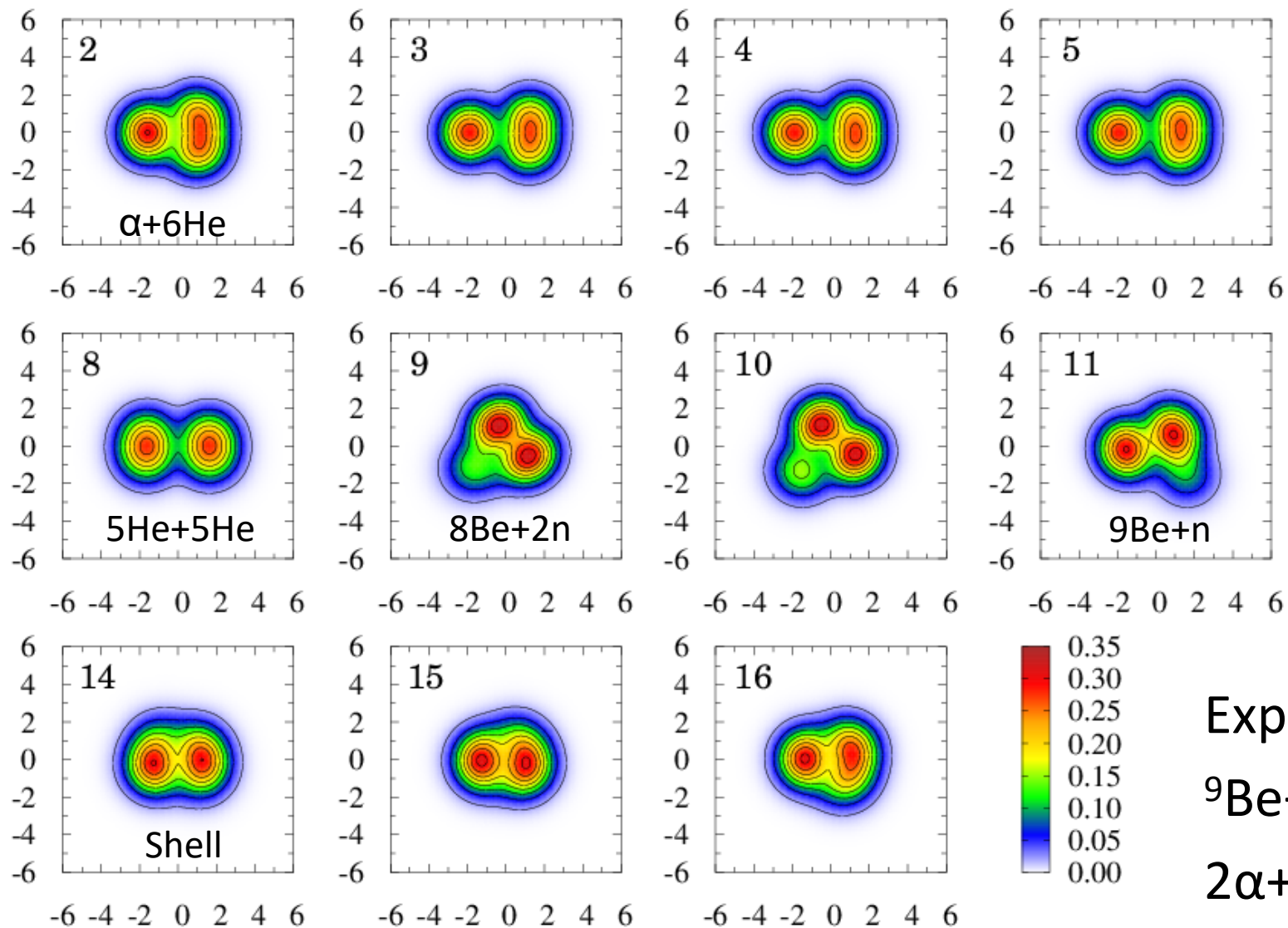


$$\Delta E_{\text{GCM}} = 10 \text{ MeV } (-45 \rightarrow -55)$$

## Radius (fm)



# $^{10}\text{Be}$ density



Main configurations  
for ground state

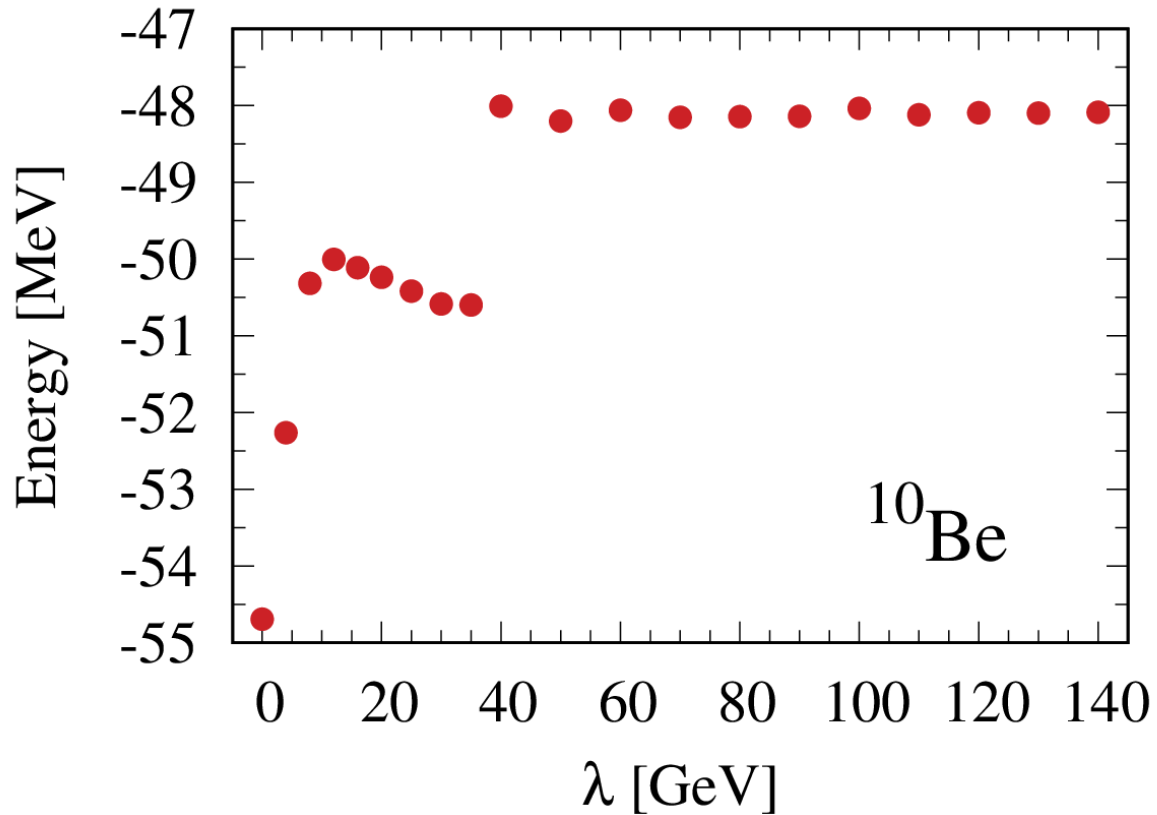
$\alpha+^6\text{He}$ ,  $^5\text{He}+^5\text{He}$   
 $^8\text{Be}+2n$ ,  $^9\text{Be}+n$   
Shell

Experimental threshold energies:  
 $^9\text{Be}+n$  (6.8 MeV),  $^6\text{He}+\alpha$  (7.4 MeV)  
 $2\alpha+2n$  (8.5 MeV)

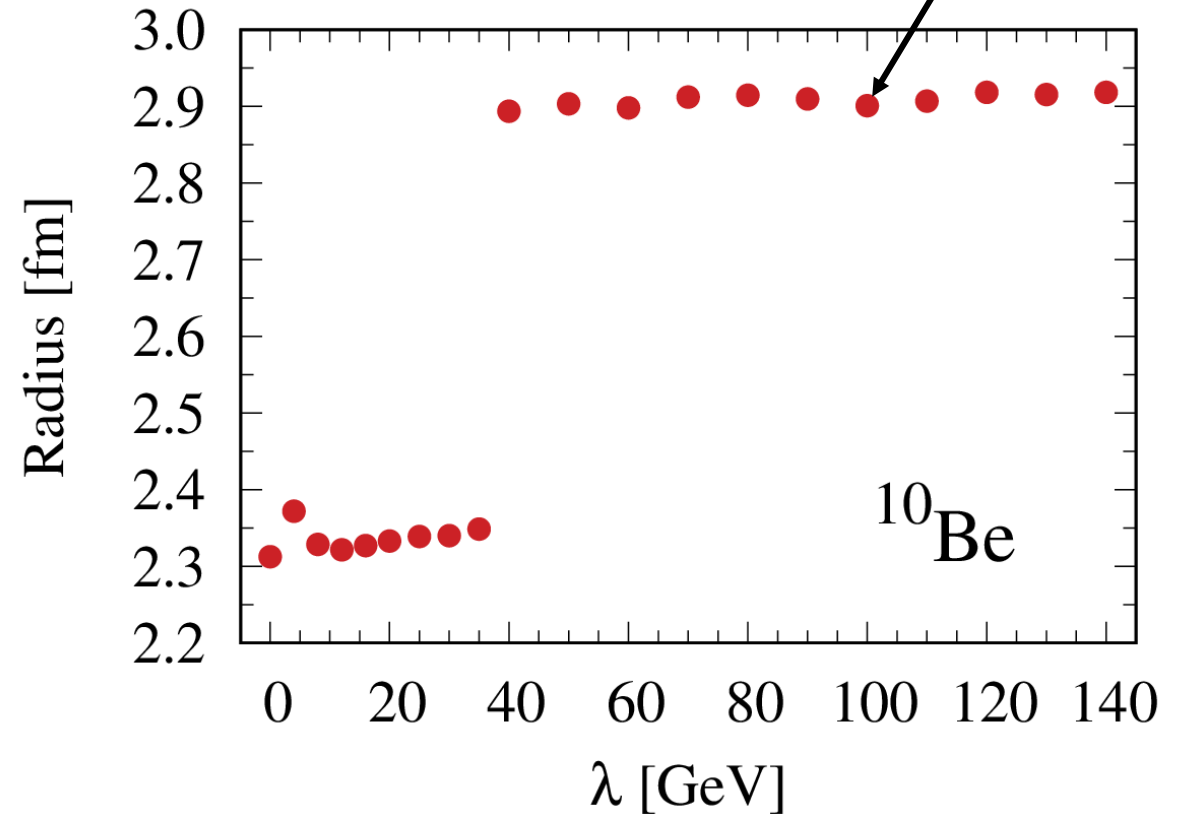
# $^{10}\text{Be}$ : excited state

$$\Phi_{\text{ex}} = \sum_n^N C_{\text{ex},n} \Phi_{\text{ex},n}$$

## Intrinsic energy (MeV)

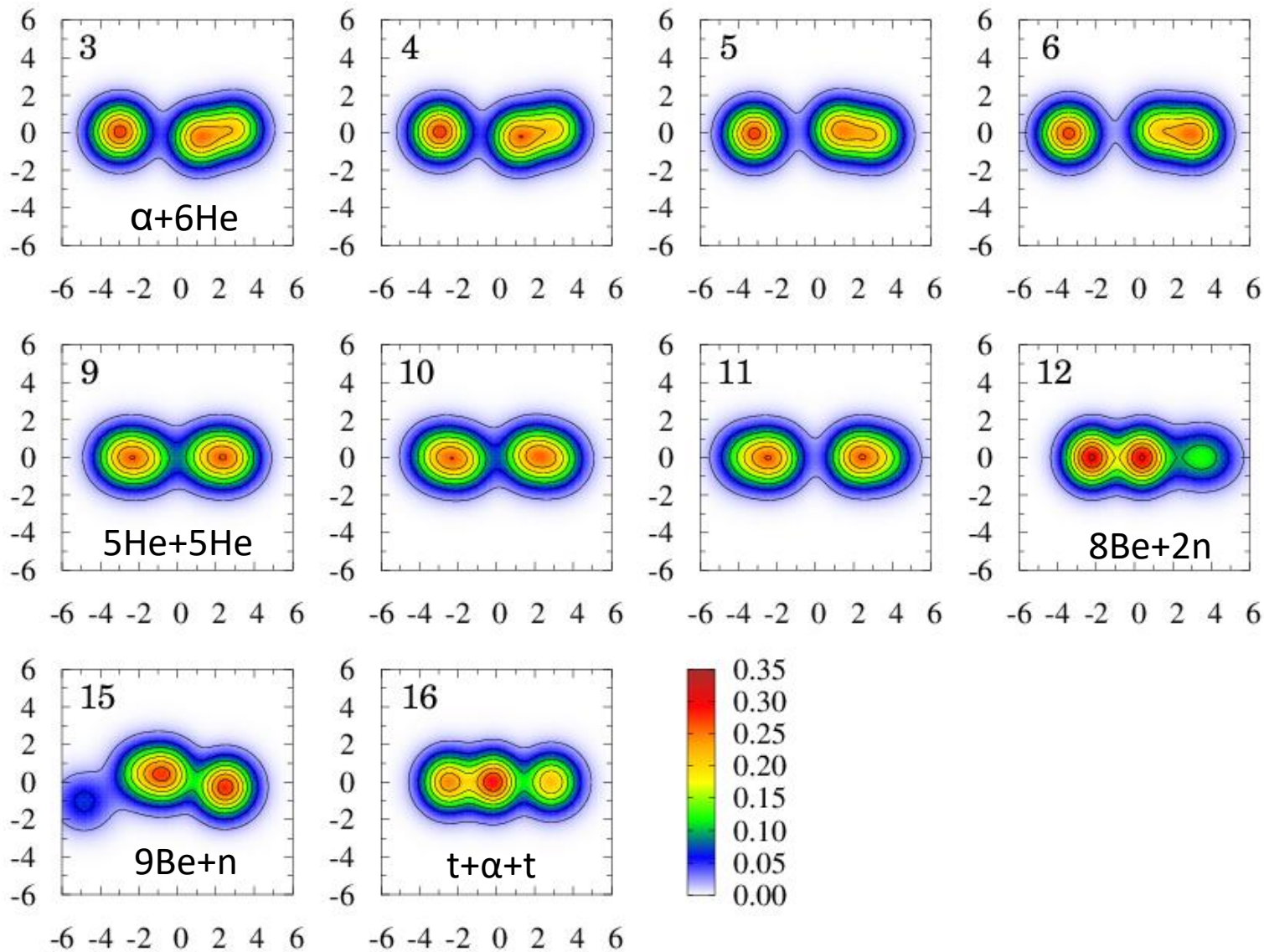


## Radius (fm)



$$H_\lambda = H + V_\lambda, \quad V_\lambda = \lambda \sum_n^{3N} |\tilde{\Phi}_n\rangle\langle\tilde{\Phi}_n|$$

# $^{10}\text{Be}$ : excited state density with $\lambda=100$ GeV

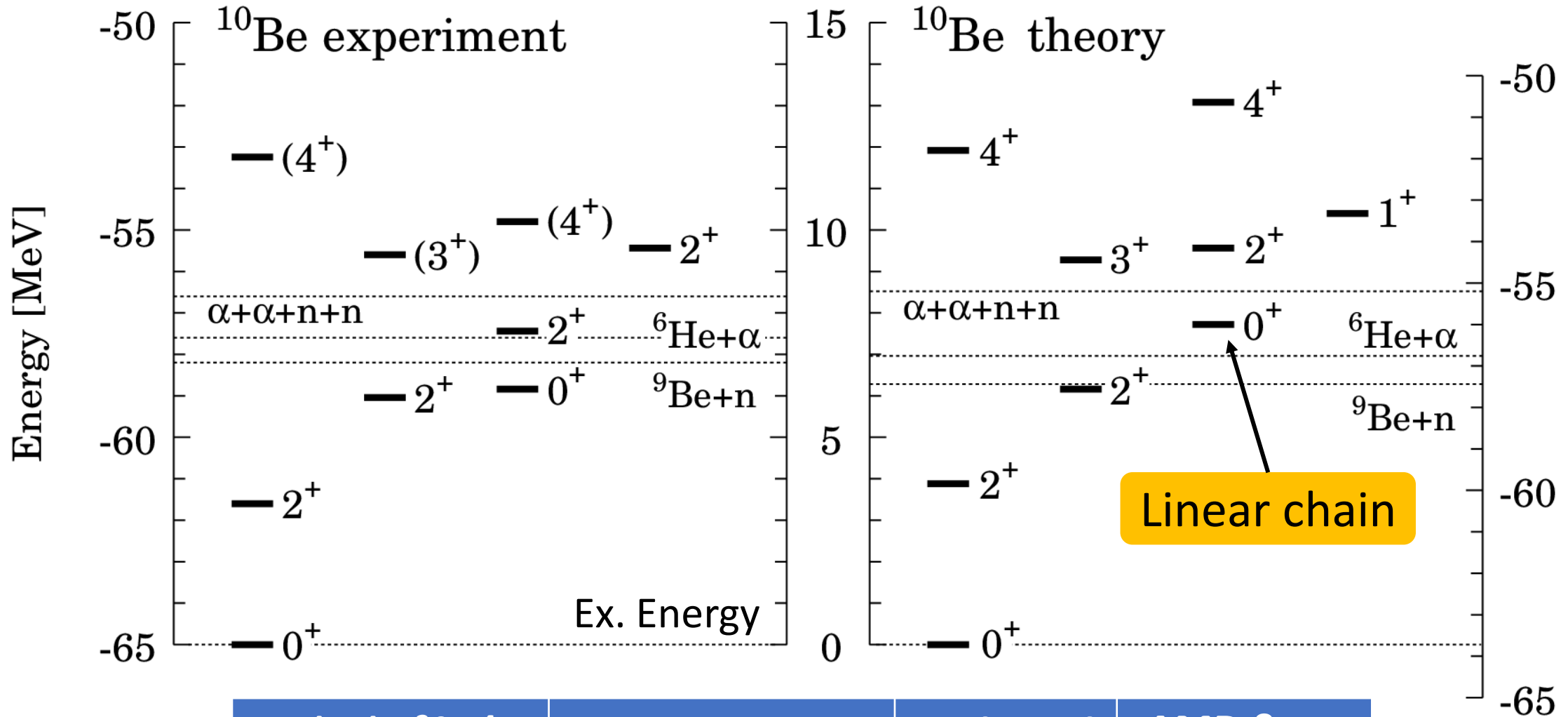


Linear chain

$\alpha+{}^6\text{He}$ ,  ${}^5\text{He}+{}^5\text{He}$   
 ${}^8\text{Be}+2n$ ,  ${}^9\text{Be}+n$   
 $t+\alpha+t$

# $^{10}\text{Be}$ : energy levels

Phys. Rev. C 108 (2023) 064314



$B(E2) \text{ e}^2\text{fm}^4$	Experiment	Multicool	AMD $\beta - \gamma$
$2^+ 1^{\text{st}} \rightarrow 0^+ 1^{\text{st}}$	10.5(1.0) / 9.2(3)	7.9	9.4

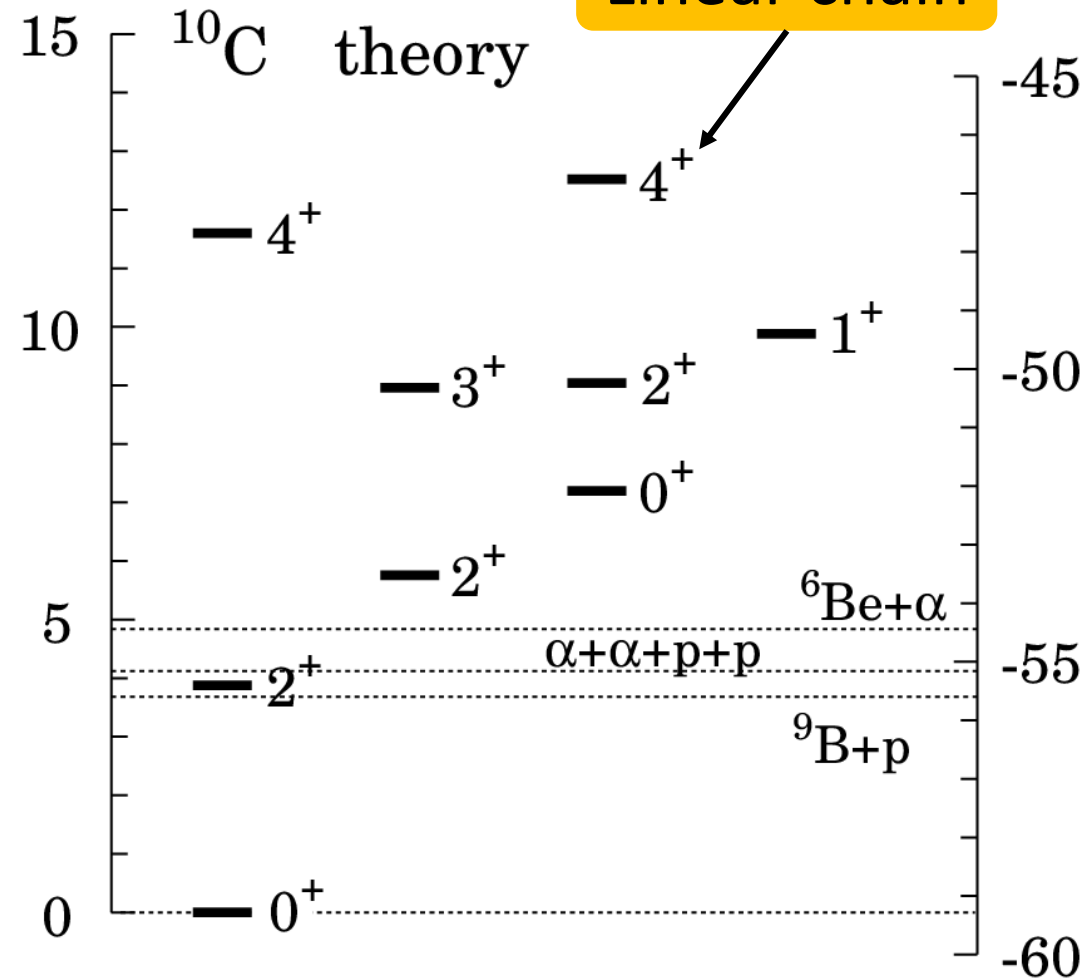
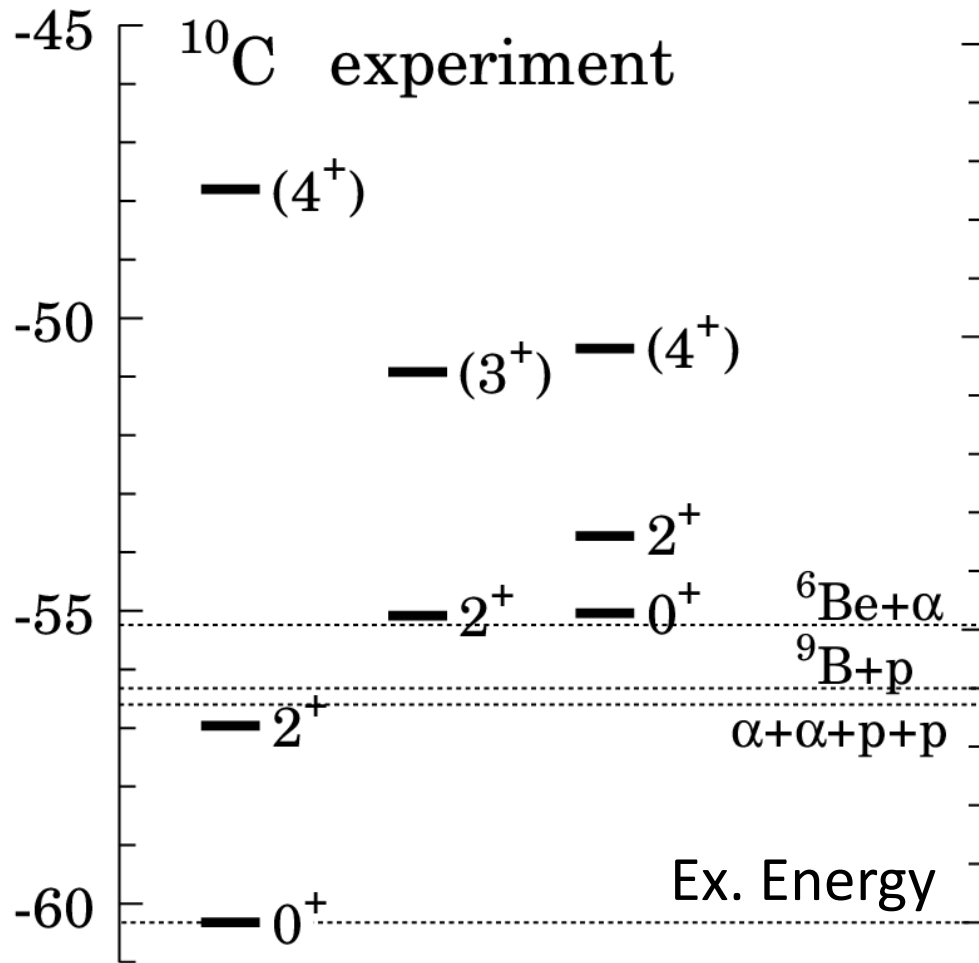
# $^{10}\text{Be}$ : Comparison with other cluster models

	Excitation Energy in MeV (total energy)					Radius in fm (matter, p, n, charge)		
	Multicool	MO[23]	$\beta$ - $\gamma$ [24]	DC[25]	$\beta$ - $\gamma$ $K$ [26]		Expt.	Multicool
$0_1^+$	0 (-63.7)	0 (-61.4)	0 (-59.2)	0 (-60.4)	0 (-63)	$r_m$	2.30(2)	2.33
$0_2^+$	7.7 (-56.0)	8.1 (-53.3)	8.0 (-51.2)	9.5 (-50.9)	12 (-51)	$0_1^+$ $r_p$	-	2.21
						$r_n$	-	2.40
						$r_{ch}$	2.357(18)	2.36
$1^+$	10.4	10.1	-	-	-	$r_m$	-	2.88
$2_1^+$	3.9	3.3	3.3	-	-	$0_2^+$ $r_p$	-	2.70
$2_2^+$	6.2	5.7	5.8	-	-	$r_n$	-	2.99
$2_3^+$	9.6	9.5	9.9	-	-	$r_{ch}$	-	2.82

Multicool gives the lowest energies among the calculations

$^{10}\text{C}$ 

Energy [MeV]

Mirror of  $^{10}\text{Be}$ 

B(E2) $\text{e}^2\text{fm}^4$	Experiment	Multicool	Shell model
$2+ 1^{\text{st}} \rightarrow 0+ 1^{\text{st}}$	8.8(3)	8.82	9.30



# Li isotopes

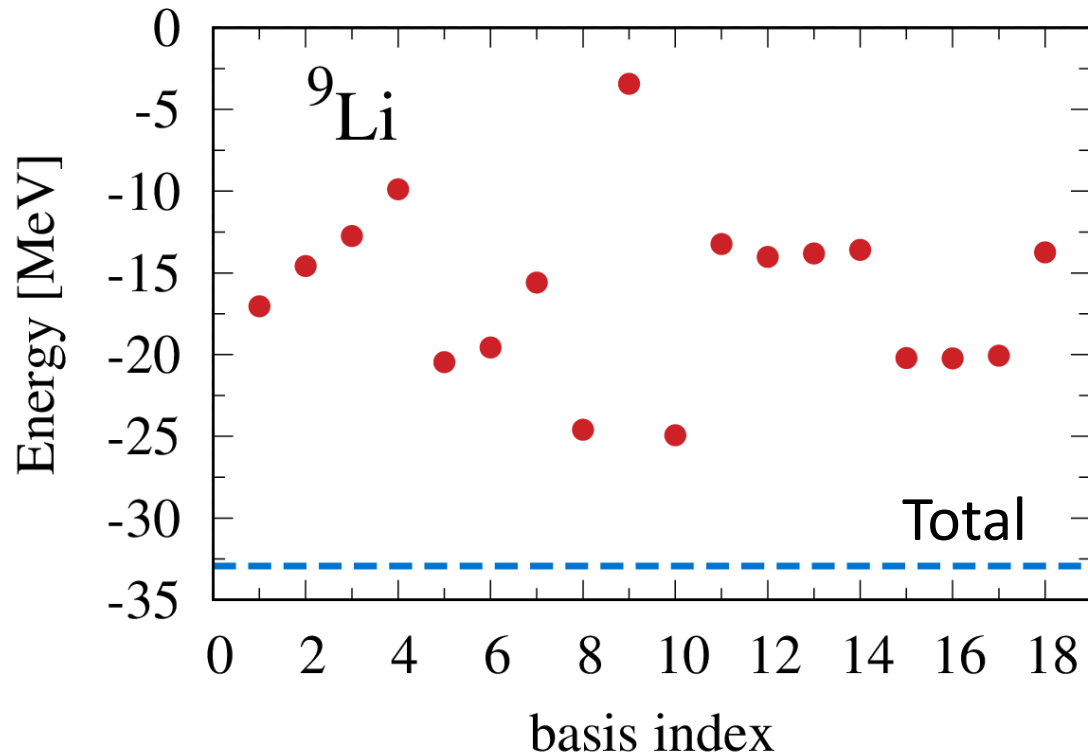
$^4\text{He}$ ,  $^5\text{Li}$ - $^9\text{Li}$  PTEP 2025 (2025) 013D01

# ${}^9\text{Li}$ : Ground state (isotone of ${}^{10}\text{Be}$ )

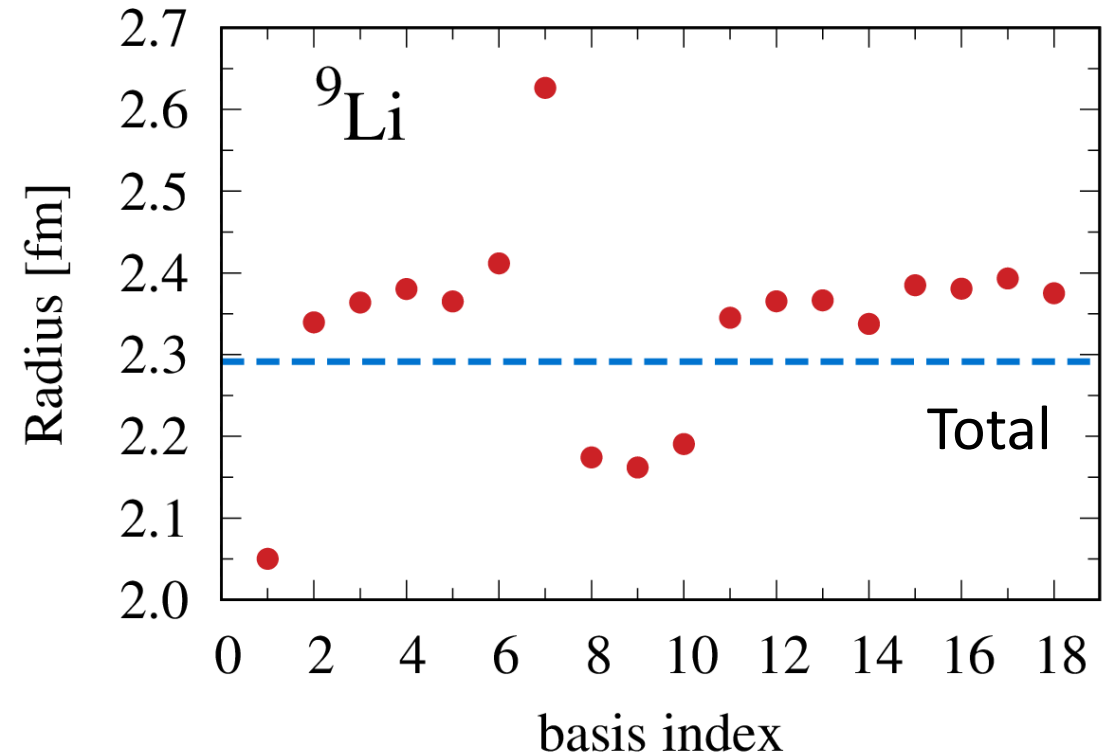
$$\Phi_{\text{total}} = \sum_n^N C_n \Phi_n$$

18 bases

## Intrinsic energies (MeV)



## Radius (fm)

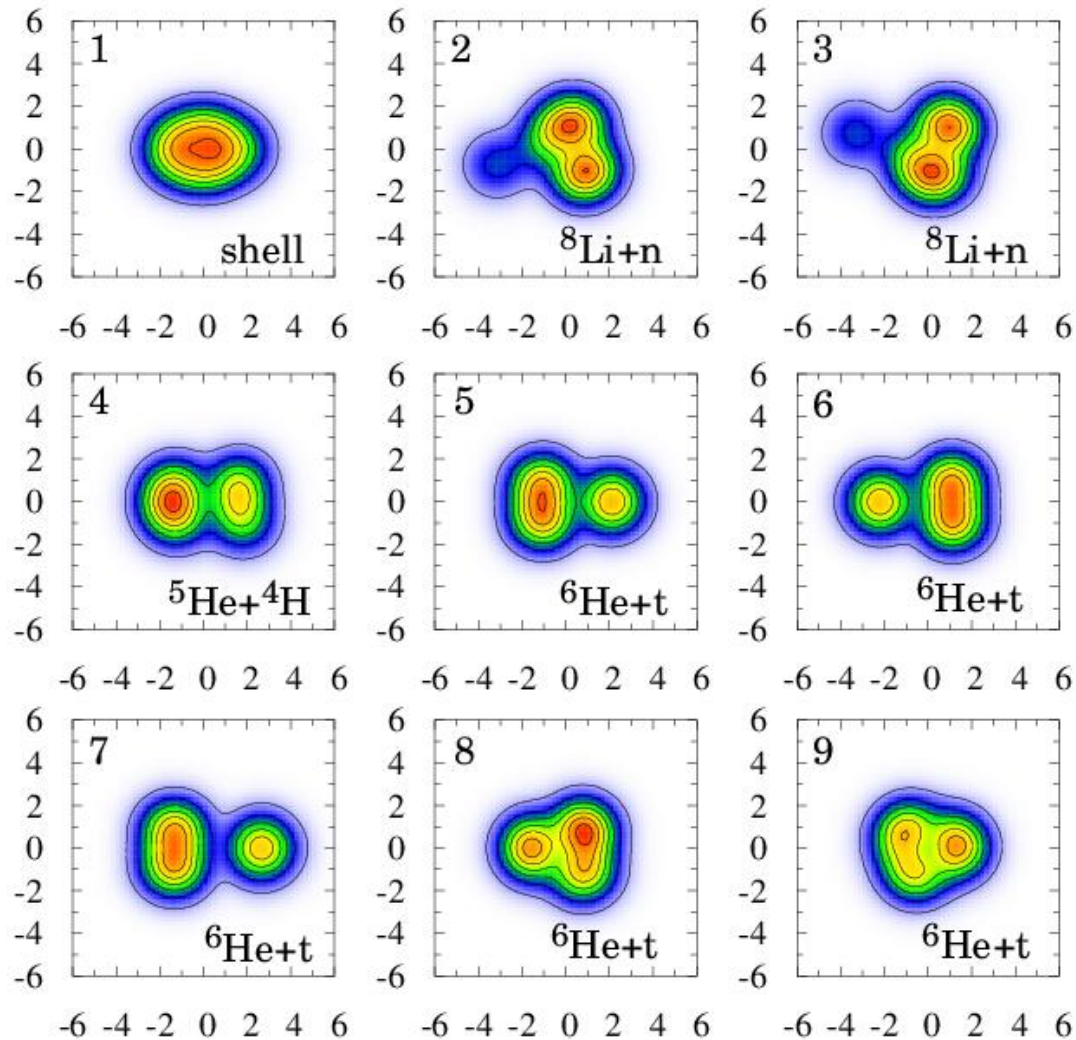


$$\Delta E_{\text{GCM}} = 8 \text{ MeV } (-25 \text{ to } -33)$$

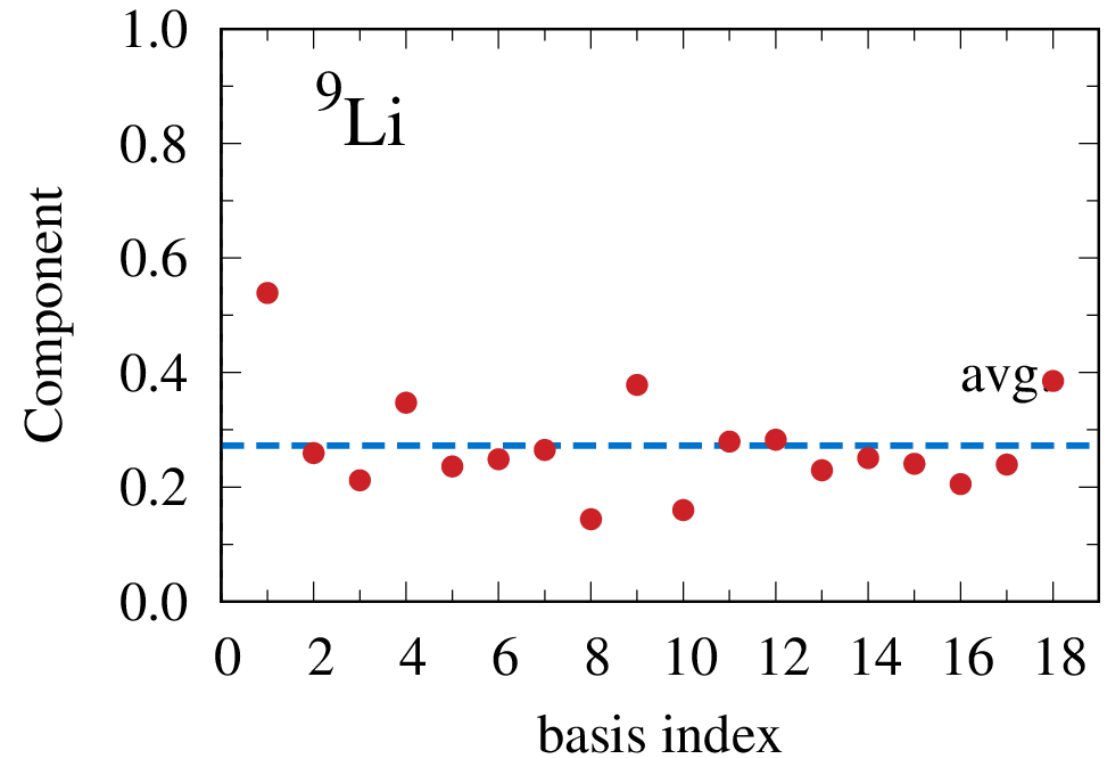
# $^9\text{Li}$ : Ground state

$$\Phi_{\text{total}} = \sum_n^N C_n \Phi_n$$

## Density distributions



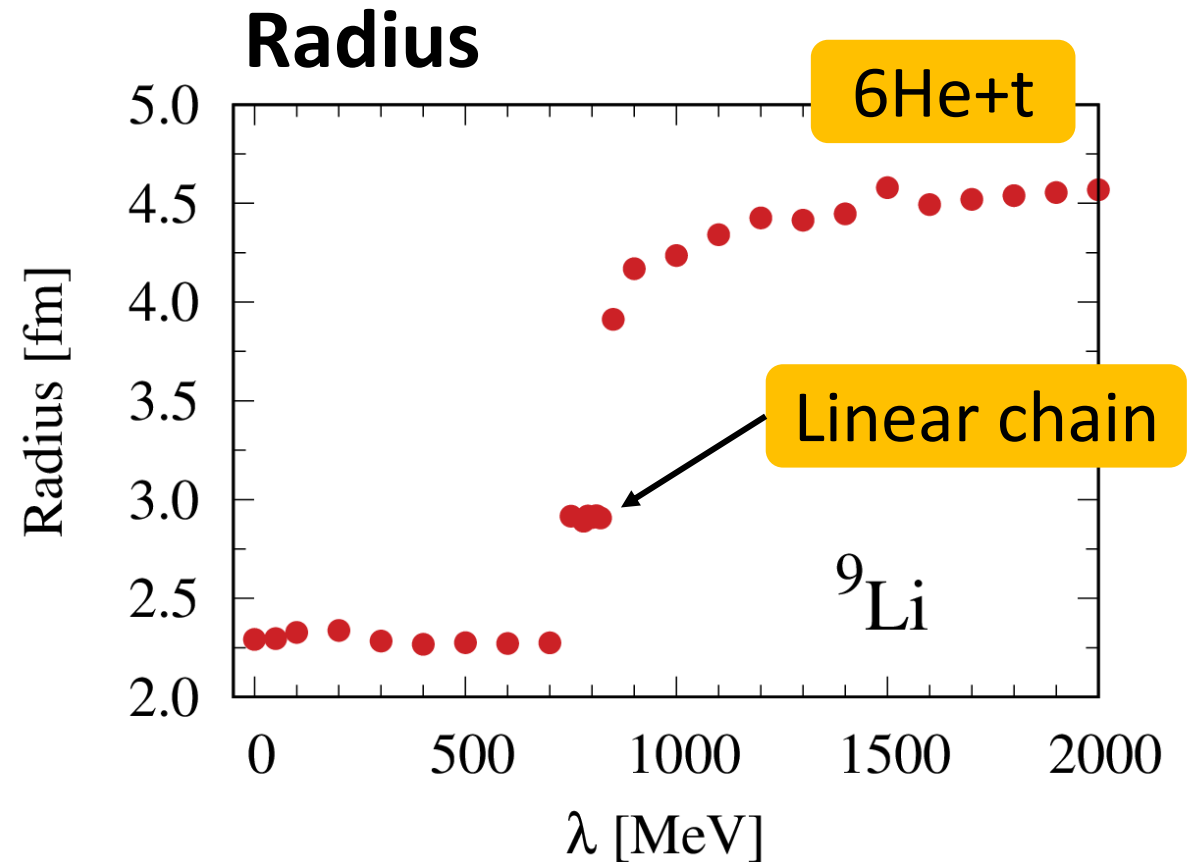
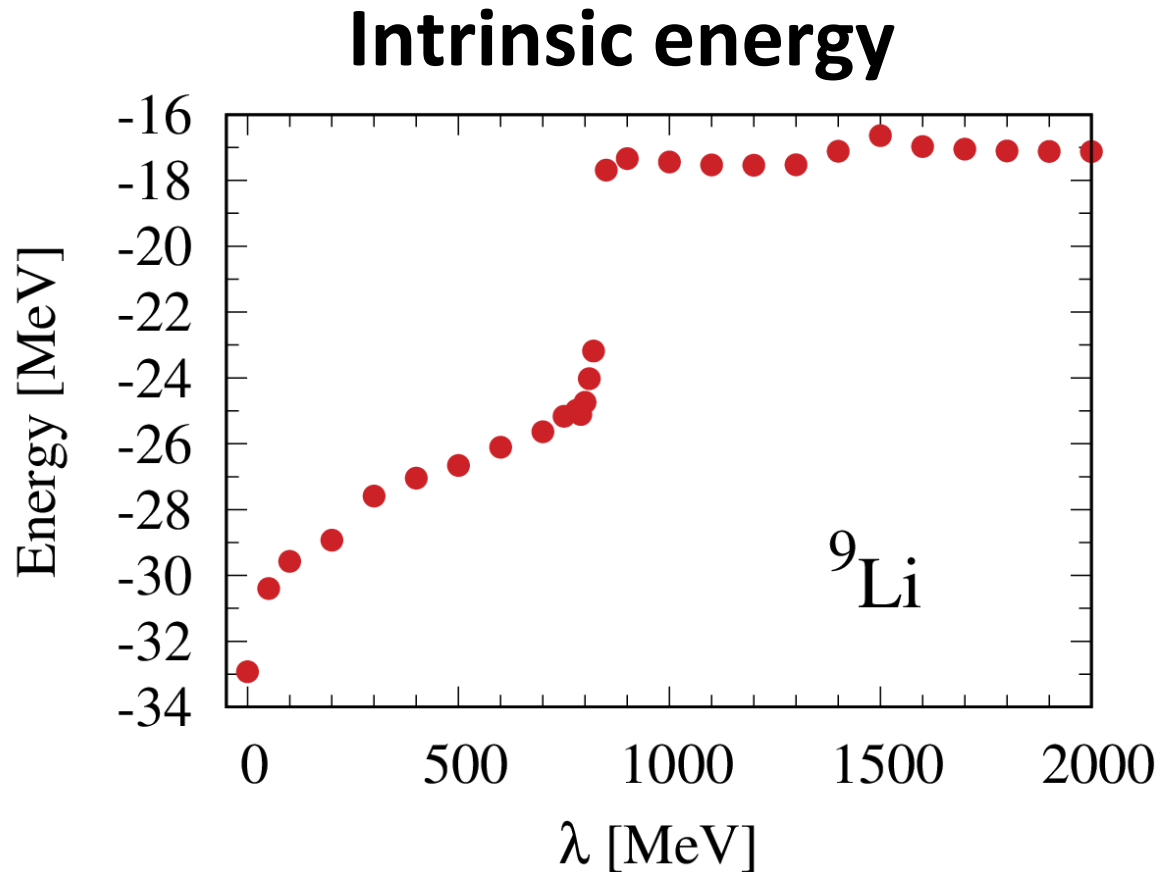
## Components: $\langle \Phi_n | \Phi \rangle^2$



Experimental threshold energies:  
 $^8\text{Li}+n$  (4.1 MeV),  $^6\text{He}+t$  (7.6 MeV)

# $^9\text{Li}$ : excited states

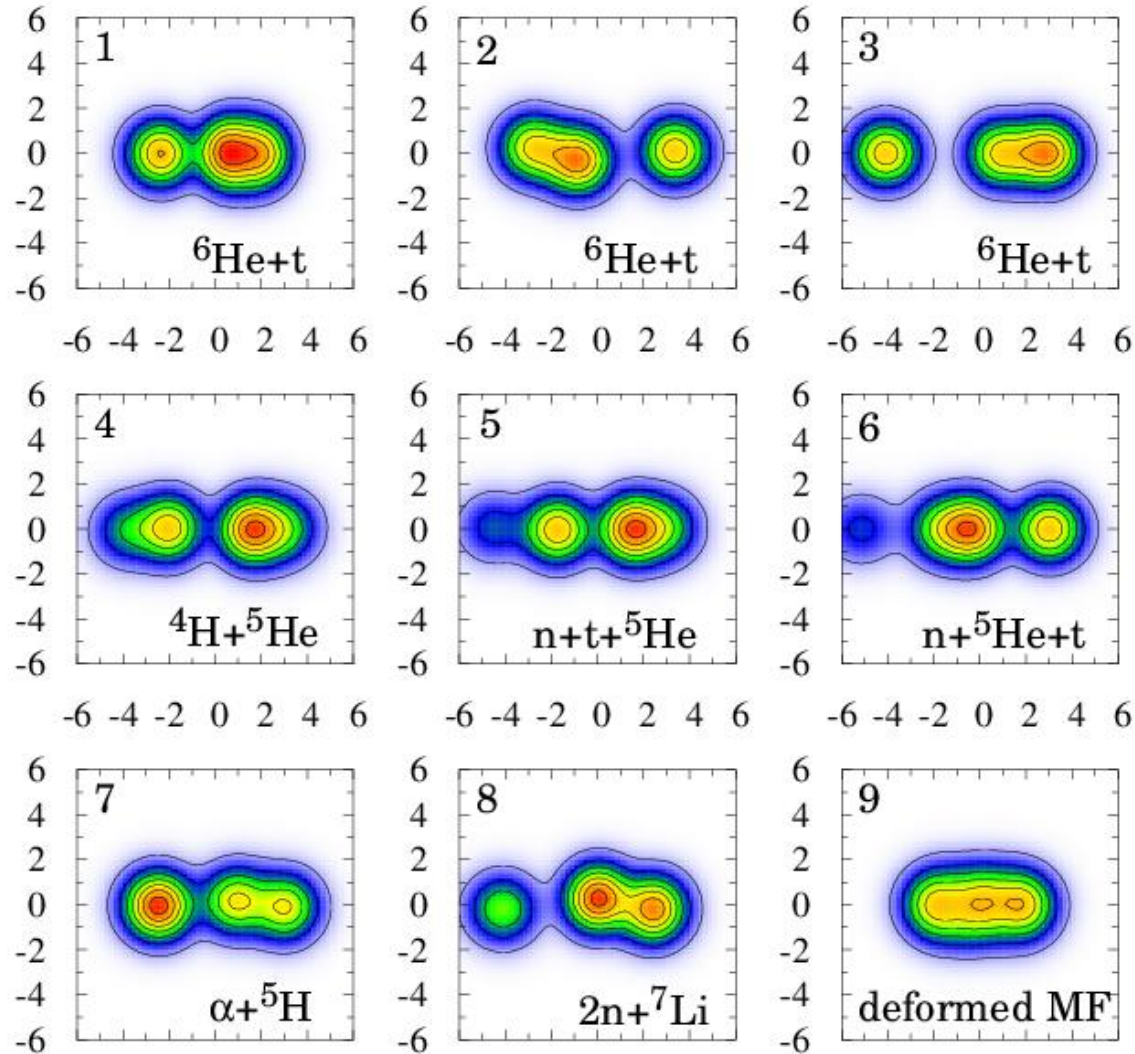
$$\Phi_{\text{ex}} = \sum_n^N C_{\text{ex},n} \Phi_{\text{ex},n}$$



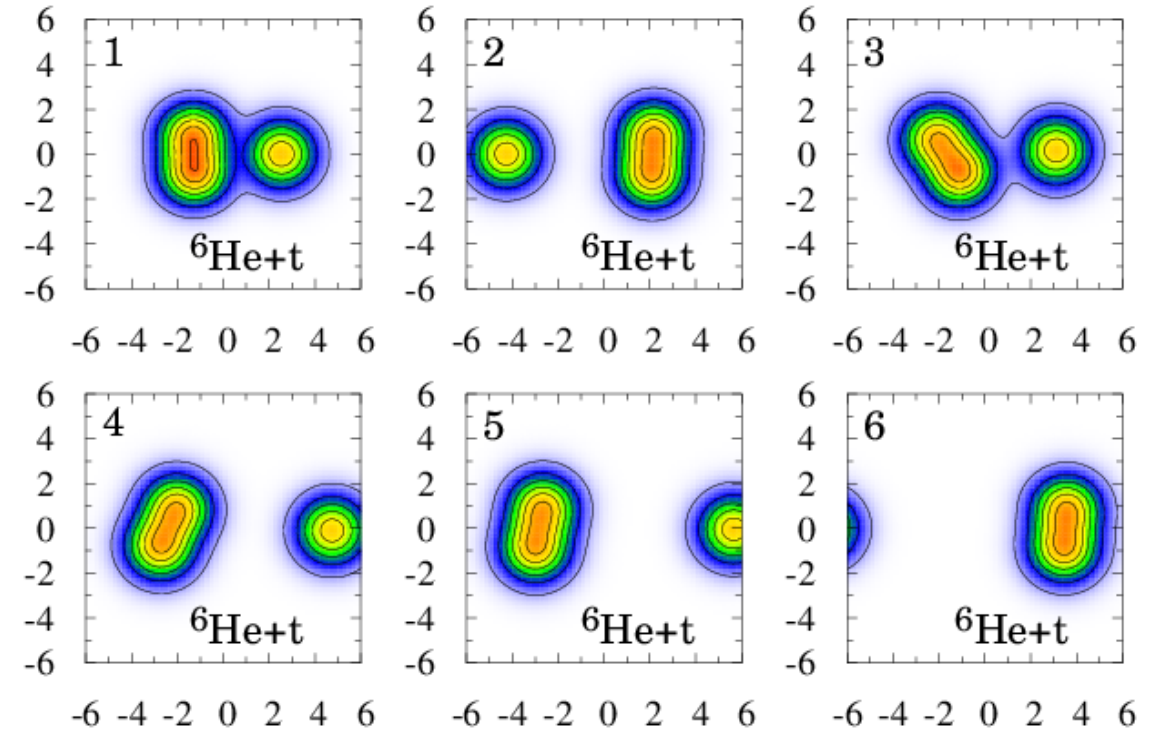
$$H_\lambda = H + V_\lambda, \quad V_\lambda = \lambda \sum_n^{3N} |\tilde{\Phi}_n\rangle\langle\tilde{\Phi}_n|$$

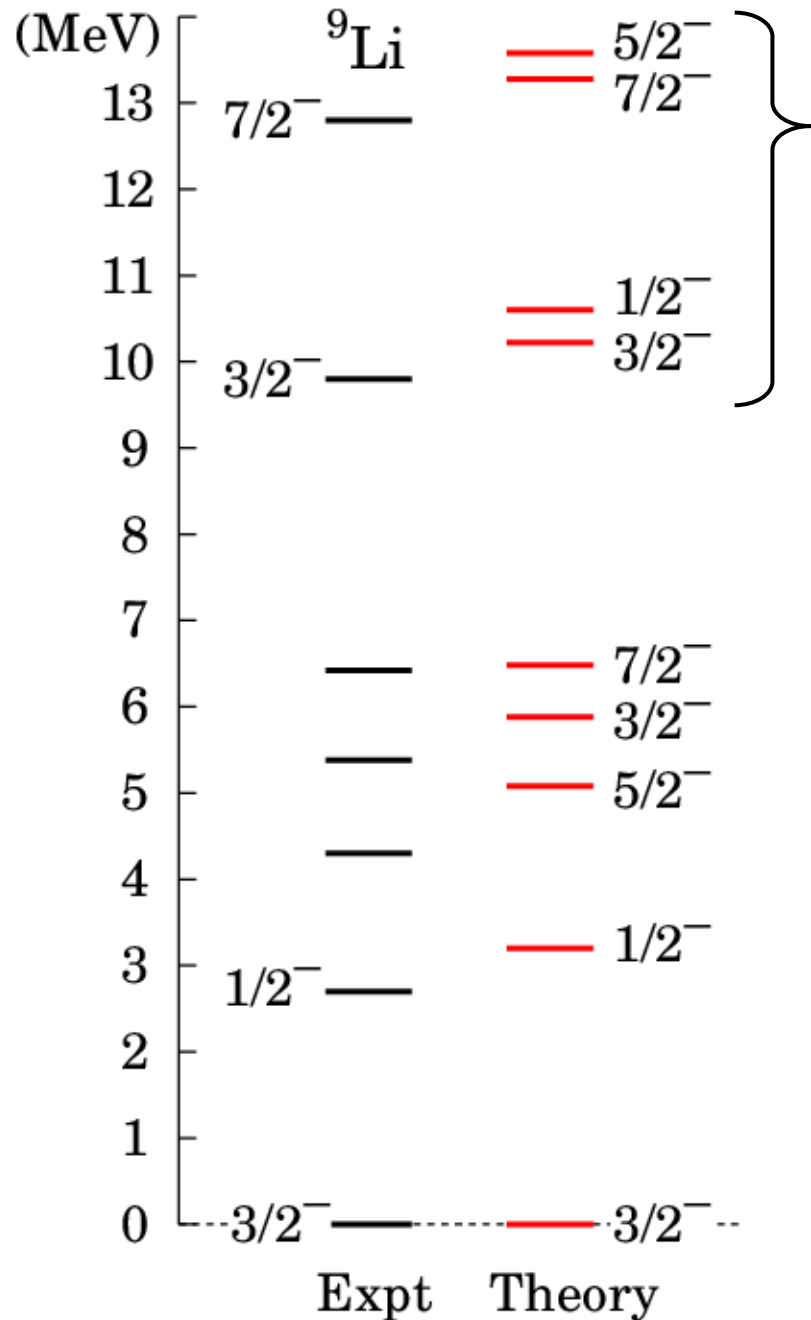
# $^9\text{Li}$ excited state density

$\lambda=800$  MeV, Linear-chain



$\lambda=1600$  MeV,  $^6\text{He}+t$





Linear chain

## ${}^9\text{Li}$ : Energy levels

### Monopole strength ( $\text{fm}^2$ )

- $O = \sum_{i=1}^A (\mathbf{r}_i - \mathbf{r}_G)^2$  : isoscalar (IS0)
- $M(\text{IS0}) = \langle \Phi_F | O | \Phi_I \rangle = M(E0) + M(\text{neutron})$
- Single particle  $\langle 1p | r^2 | 0p \rangle = \sqrt{5/(8\nu^2)} = 3.4 \text{ fm}^2$

	IS0	$E0$	Neutron
$1/2_1^- \rightarrow 1/2_2^-$	4.27	1.11	3.17
$3/2_1^- \rightarrow 3/2_2^-$	0.42	0.07	0.35
$3/2_1^- \rightarrow 3/2_3^-$	3.57 [8.1(8)]	0.98	2.58

Exp: W. H. Ma *et al.*, Phys. Rev. C **103**, L061302 (2021)

# Energy & Radius of GS

	Energy	$r_m$	$r_p$	$r_n$	$r_{ch}$
${}^6\text{He}(0^+)$	-29.2 [-29.3]	2.38	1.88	2.59	2.04 [2.068(11)]
${}^9\text{Be}(3/2^-)$	-57.5 [-58.2]	2.44	2.37	2.50	2.51 [2.519(12)]

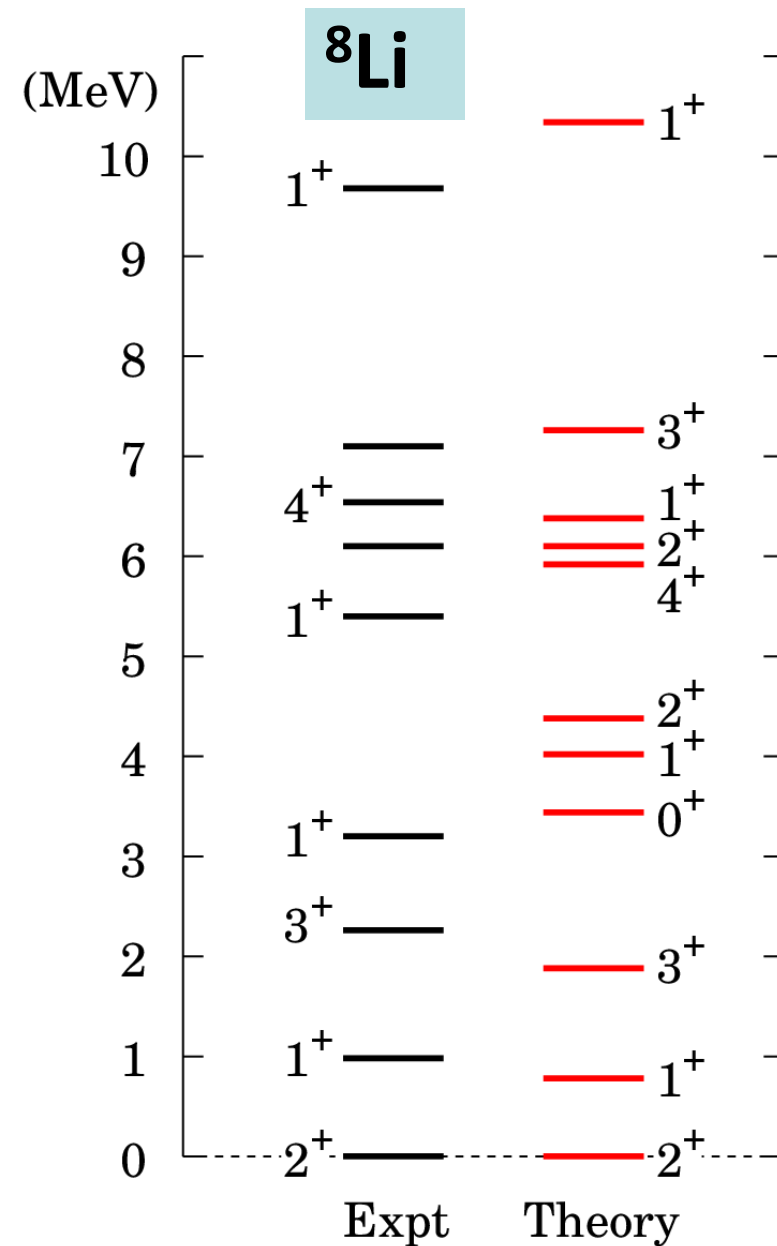
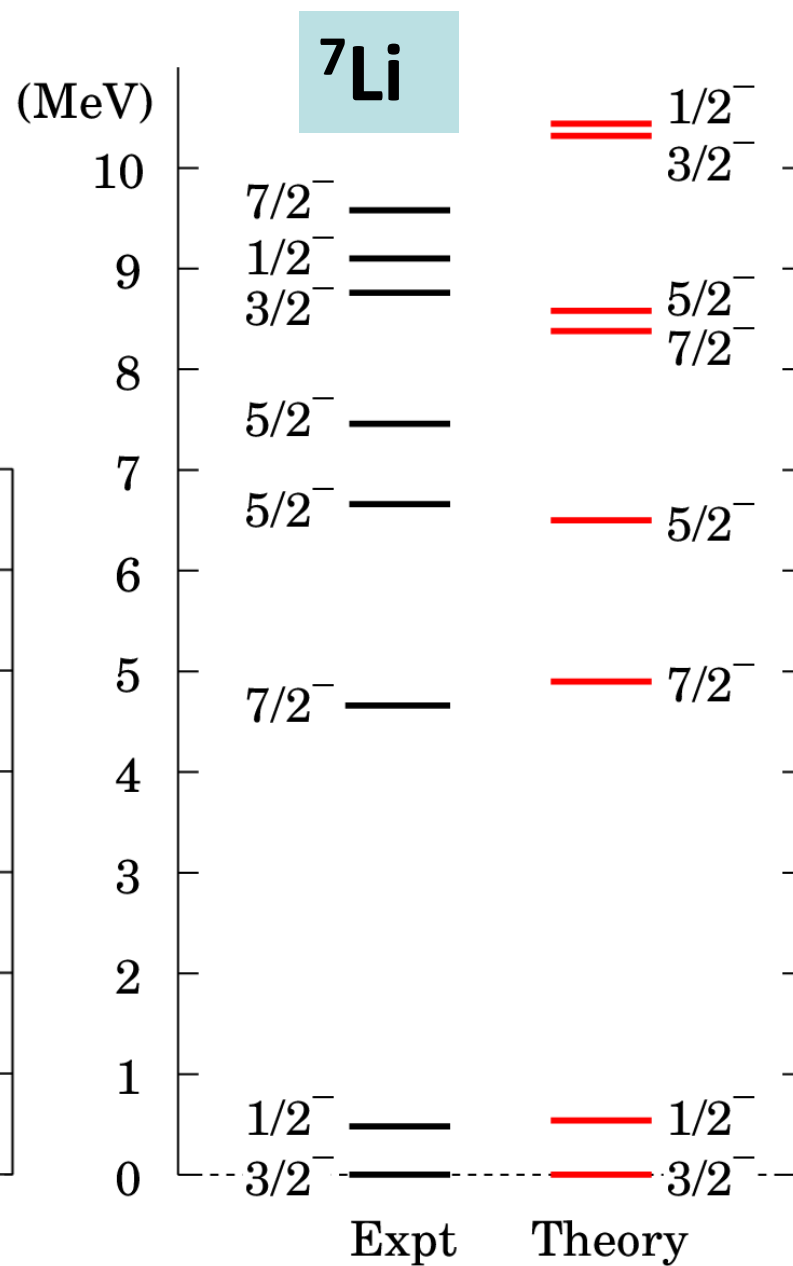
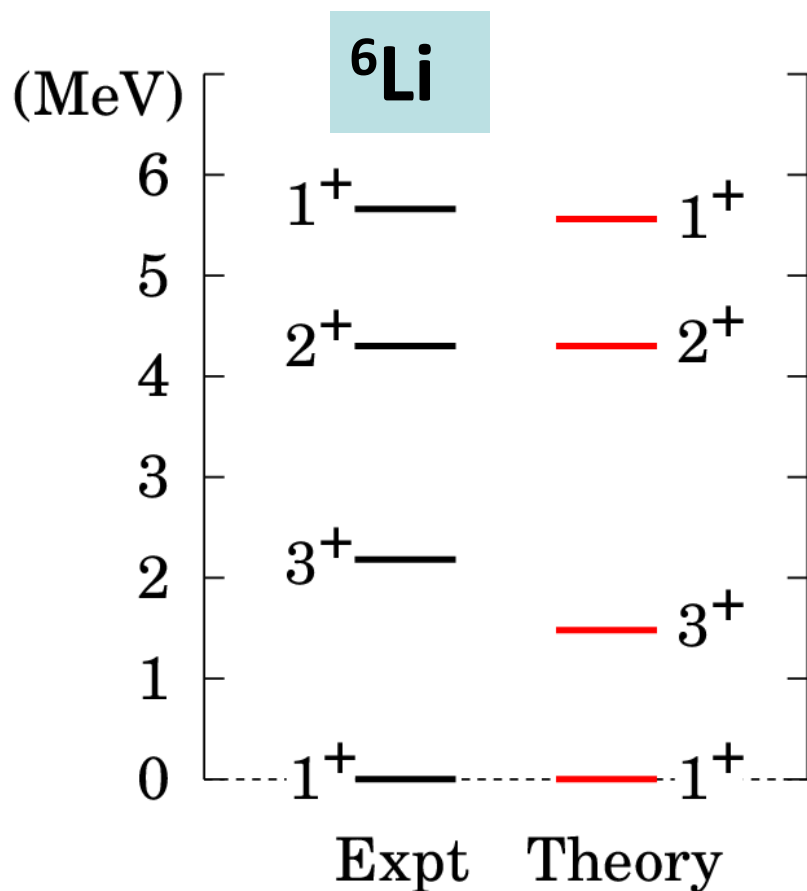
# Li isotopes

	Energy	$r_m$	$r_p$	$r_n$	$r_{ch}$
${}^5\text{Li}(3/2^-)$	-26.87 [-26.61]	—	—	—	—
${}^5\text{Li}(1/2^-)$	-25.34 [-25.12]	—	—	—	—
${}^6\text{Li}(1^+)$	-31.41 [-32.00]	2.31	2.31	2.30	2.46 [2.59(4)]
${}^7\text{Li}(3/2^-)$	-38.99 [-39.25]	2.39	2.30	2.46	2.44 [2.44(4)]
${}^8\text{Li}(2^+)$	-38.07 [-41.28]	2.33	2.16	2.42	2.30 [2.34(5)]
${}^9\text{Li}(3/2^-)$	-41.55 [-45.34]	2.29	2.06	2.40	2.20 [2.25(5)]

experiment

experiment

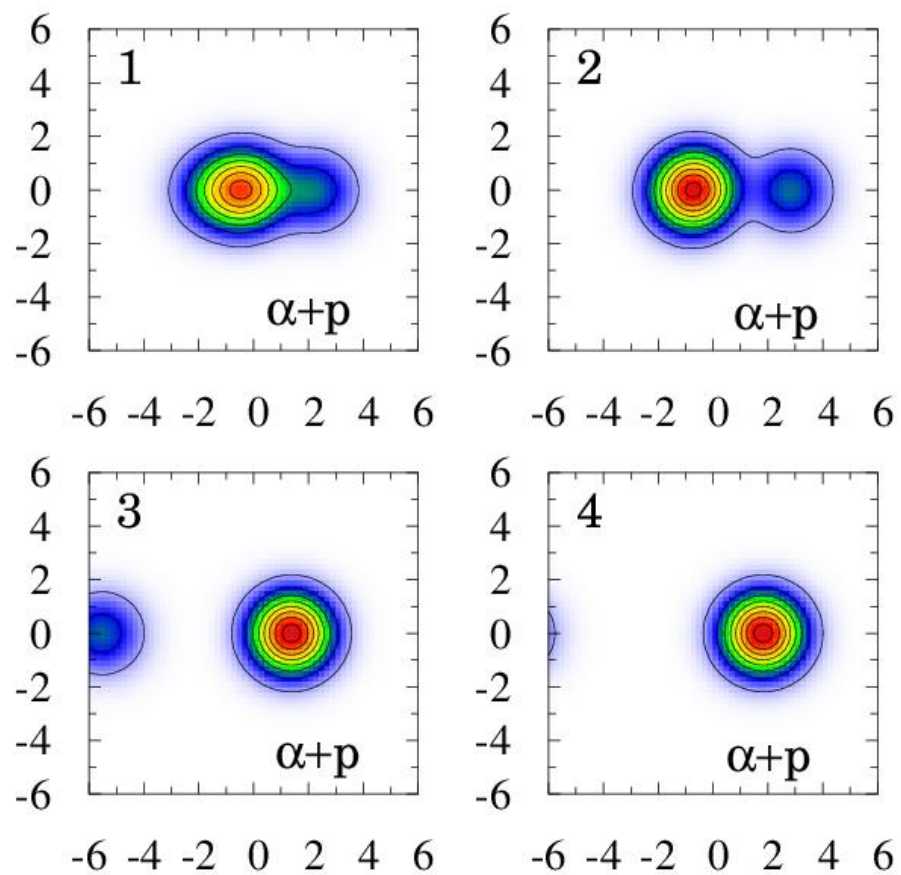
# Li isotopes



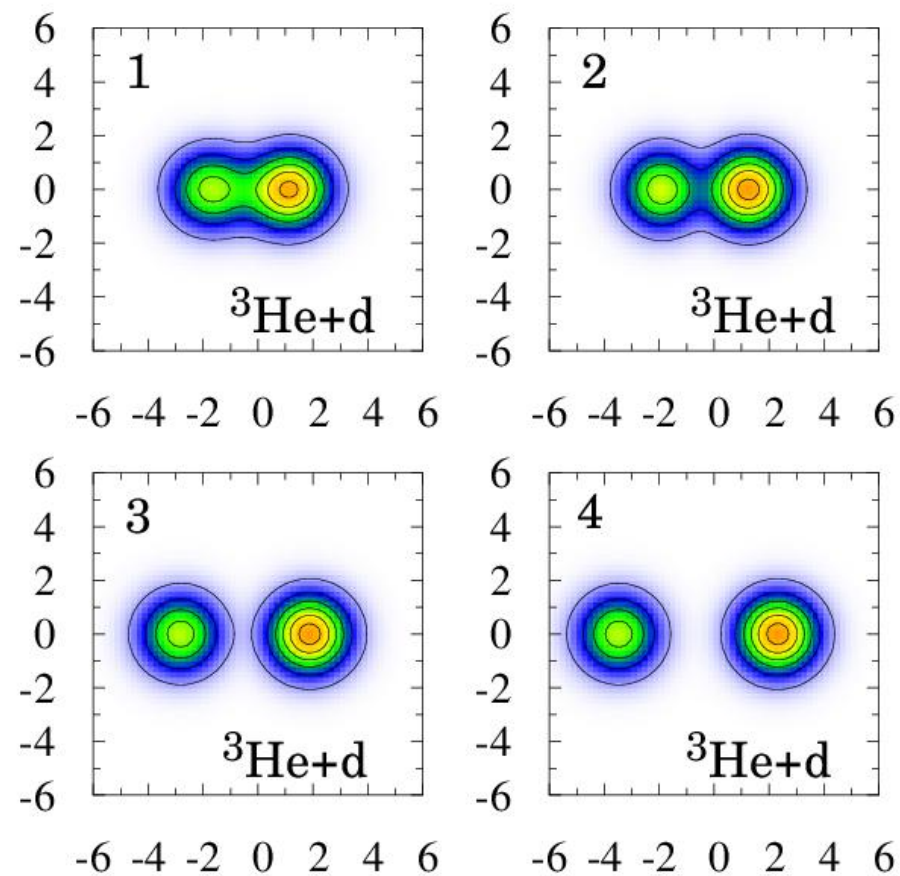


# $^5\text{Li}$

## Ground State ( $\alpha+p$ resonance)

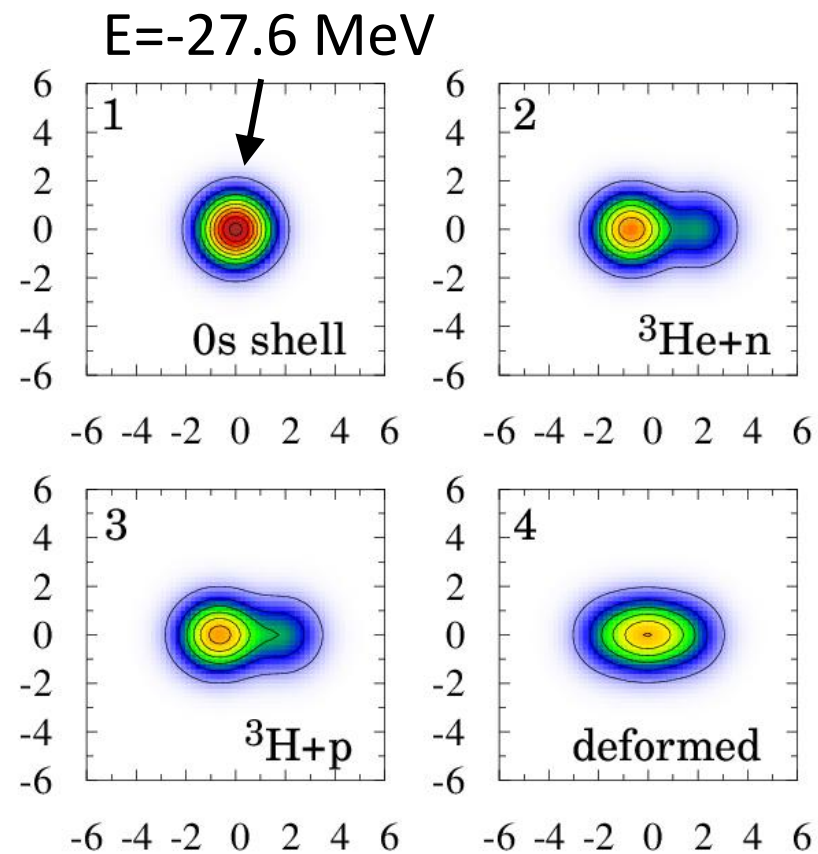


## Excited States ( $3/2^+$ resonance)

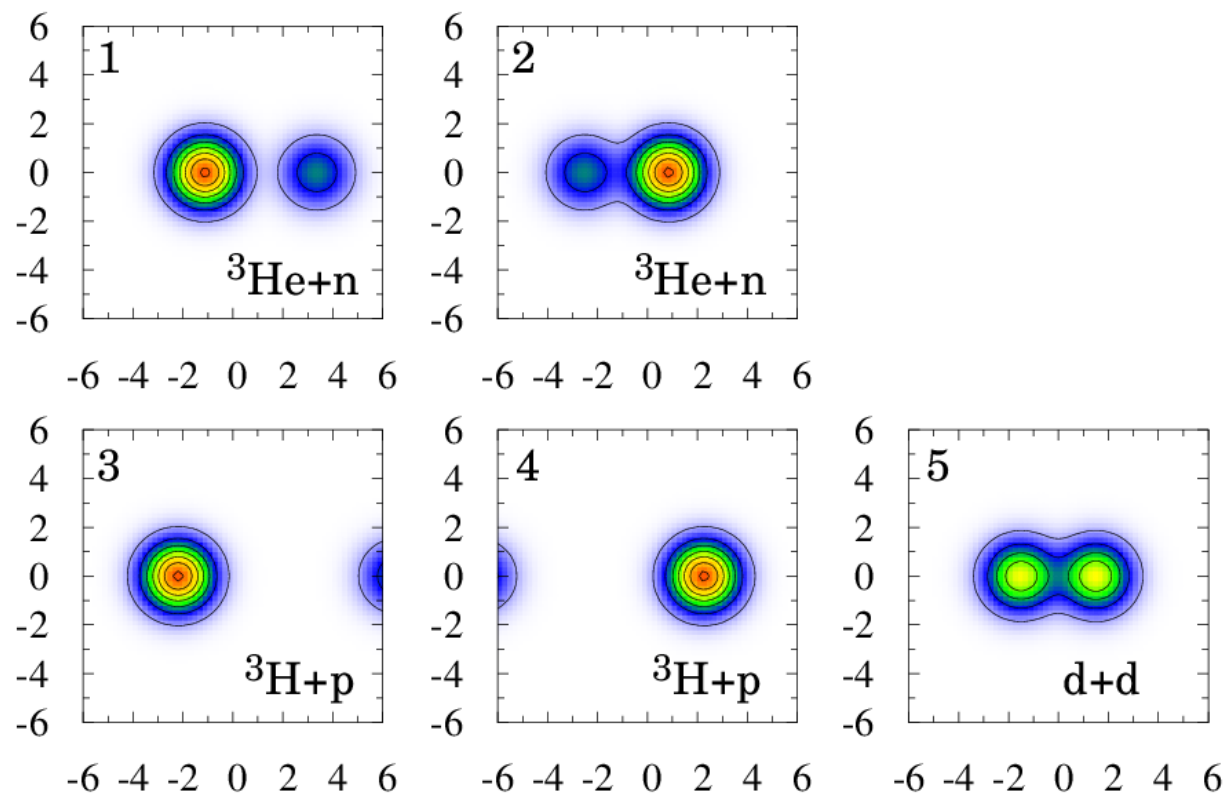


# $^4\text{He}$

Ground  $0^+$  State  $E=-28.9$  MeV

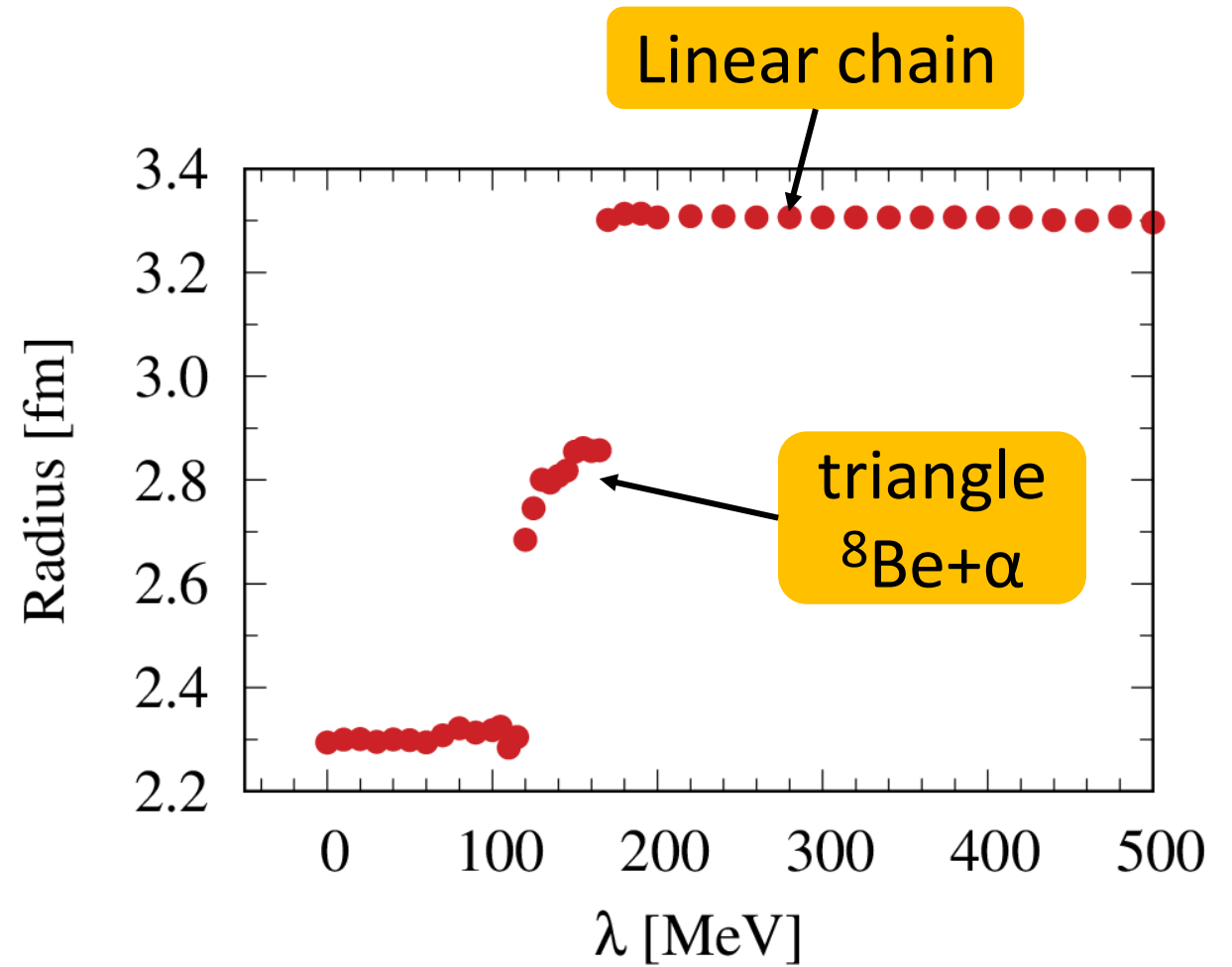
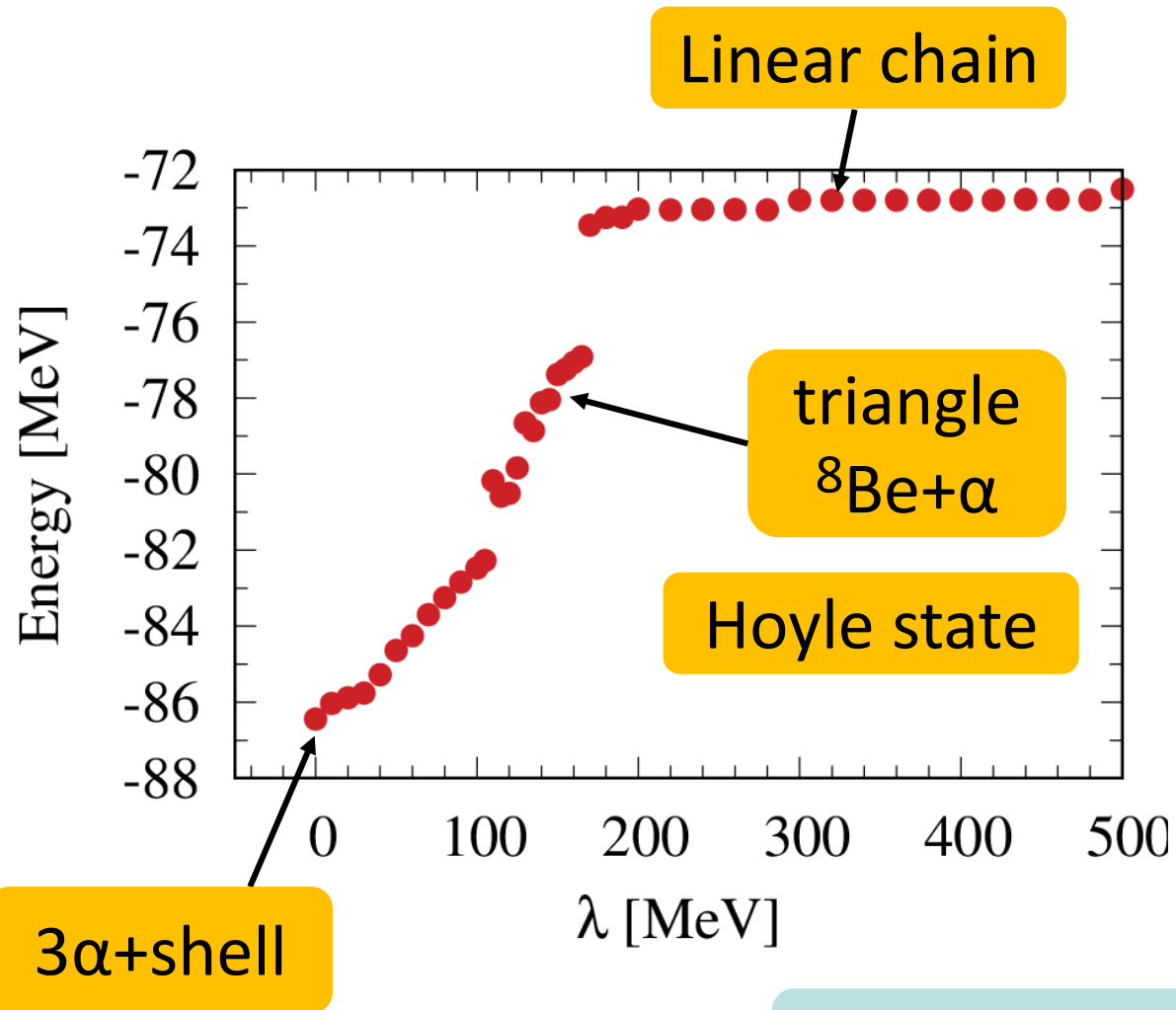


Excited  $0^+$  State  $E=-7.0$  MeV



$^{12}\text{C}$  (preliminary)

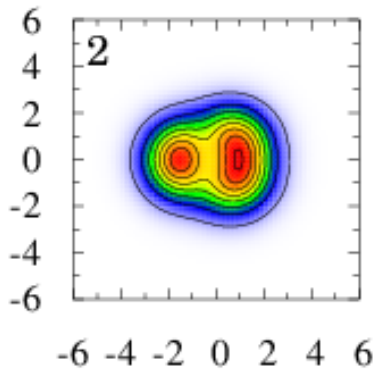
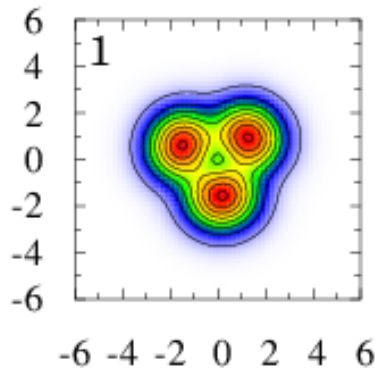
# $^{12}\text{C}$ with projection operator



$$H_\lambda = H + V_\lambda, \quad V_\lambda = \lambda \sum_n^{3N} |\tilde{\Phi}_n\rangle\langle\tilde{\Phi}_n|$$

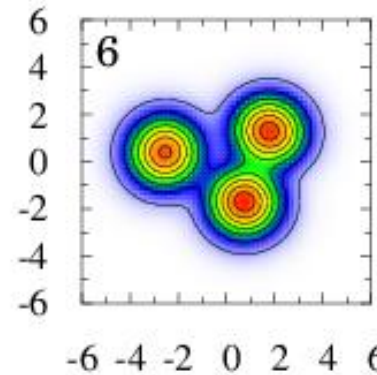
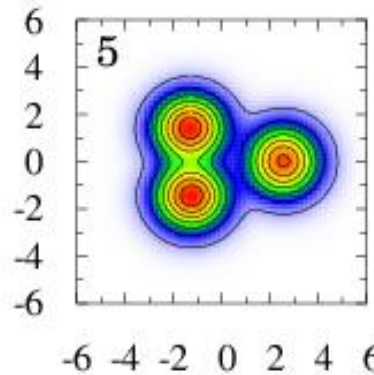
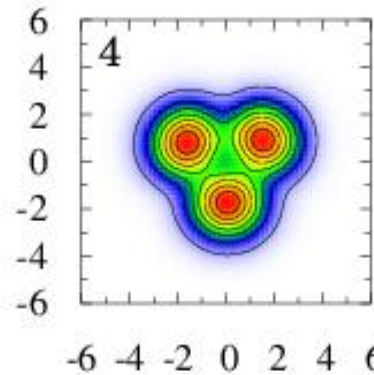
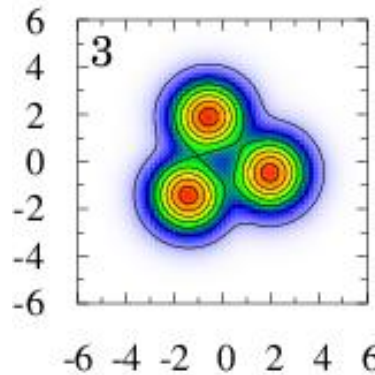
# $^{12}\text{C}$ Configurations

1<sup>st</sup>  $0^+$



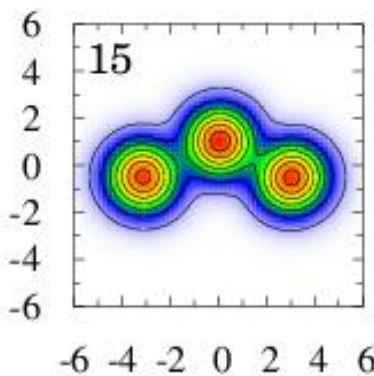
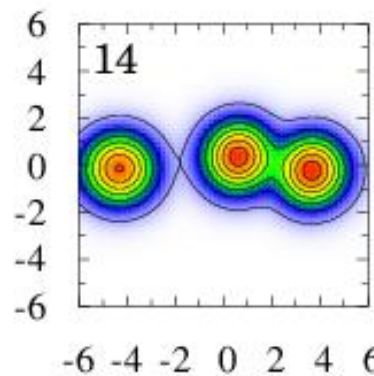
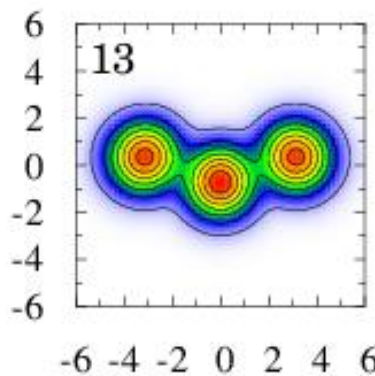
3α+shell

2<sup>nd</sup>  $0^+$



triangle  
 $^8\text{Be}+\alpha$

3<sup>rd</sup>  $0^+$



Linear chain

# $^{12}\text{C}$ Results (preliminary)

$V_{\text{LS}}=1000$  MeV

- $E(1^{\text{st}} 0^+) = -92.21$  MeV (exp.  $-92.16$  MeV)
- $E(2^{\text{nd}} 0^+) = -81.09$  MeV :  $3\alpha$  Hoyle state,  $E_x=11.12$  MeV (exp:  $7$  MeV)
- $E(3^{\text{rd}} 0^+) = -77.81$  MeV : Linear-chain,  $E_x=14.4$  MeV (exp:  $\sim 10$  MeV)
- **NO  $4^{\text{th}} 0^+$  at present** (breathing mode of Hoyle state)
- Radius ( $1^{\text{st}}, 2^{\text{nd}}, 3^{\text{rd}} 0^+$ ) =  $2.35 / 3.05 / 3.34$  fm , smaller than THSR
- $2^+$  :  $E_x=3.1$  MeV (exp  $4.4$  MeV)
- $4^+$  :  $E_x=10.1$  MeV (exp  $14.1$  MeV)
- $B(E2, 1^{\text{st}} 2^+ \rightarrow 1^{\text{st}} 0^+) = 8.17$  e $^2$ fm $^4$  (exp:  $7.59$ )
- Monopole  $M(E0, 1^{\text{st}} 0^+ \rightarrow 2^{\text{nd}} 0^+) = 6.90$  e fm $^2$  (exp: $5.4(2)$ )

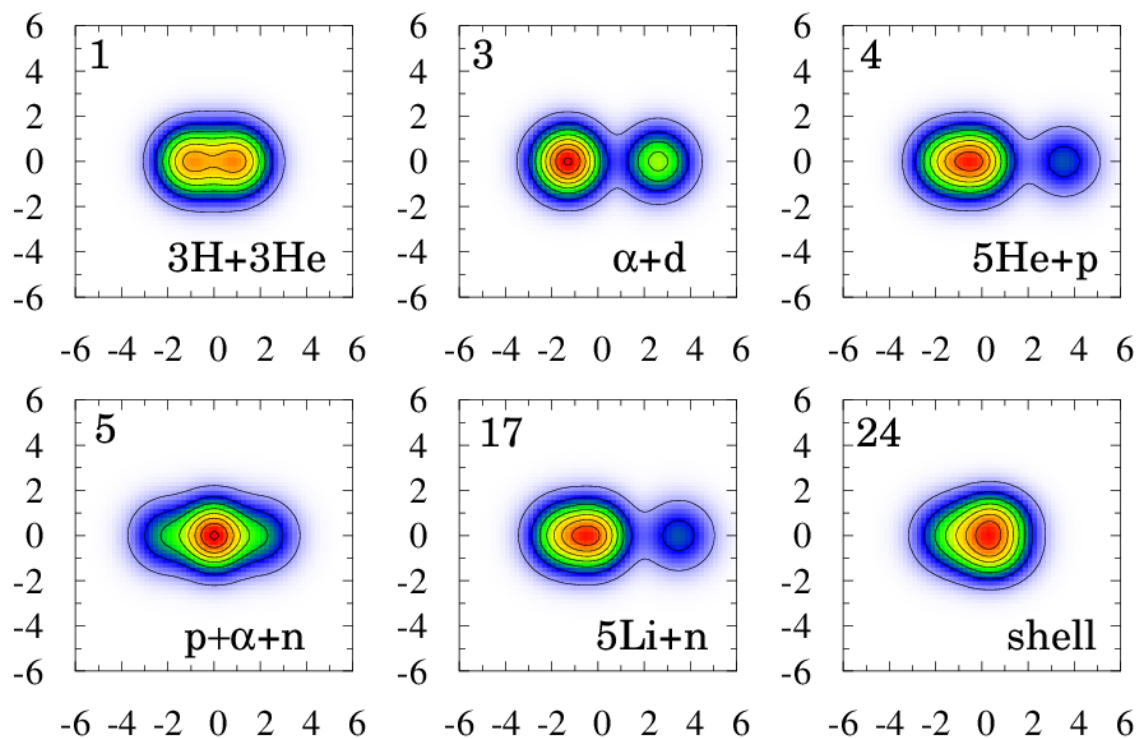
# Summary

- Variation of multiple configurations with AMD
- Simultaneous optimization of multi-basis states : **multiple cooling**
- Shell structure, various combinations of sub-clusters ( $\alpha, t, d$ )
- Future works
  - Spectroscopic factors of cluster configurations.
  - Heavier mass nuclei from p-shell (C, O) to sd-shell region (Ne, Mg)
  - Ab initio calculation with realistic nuclear force
  - Combine the framework with neural network (M. Lyu)

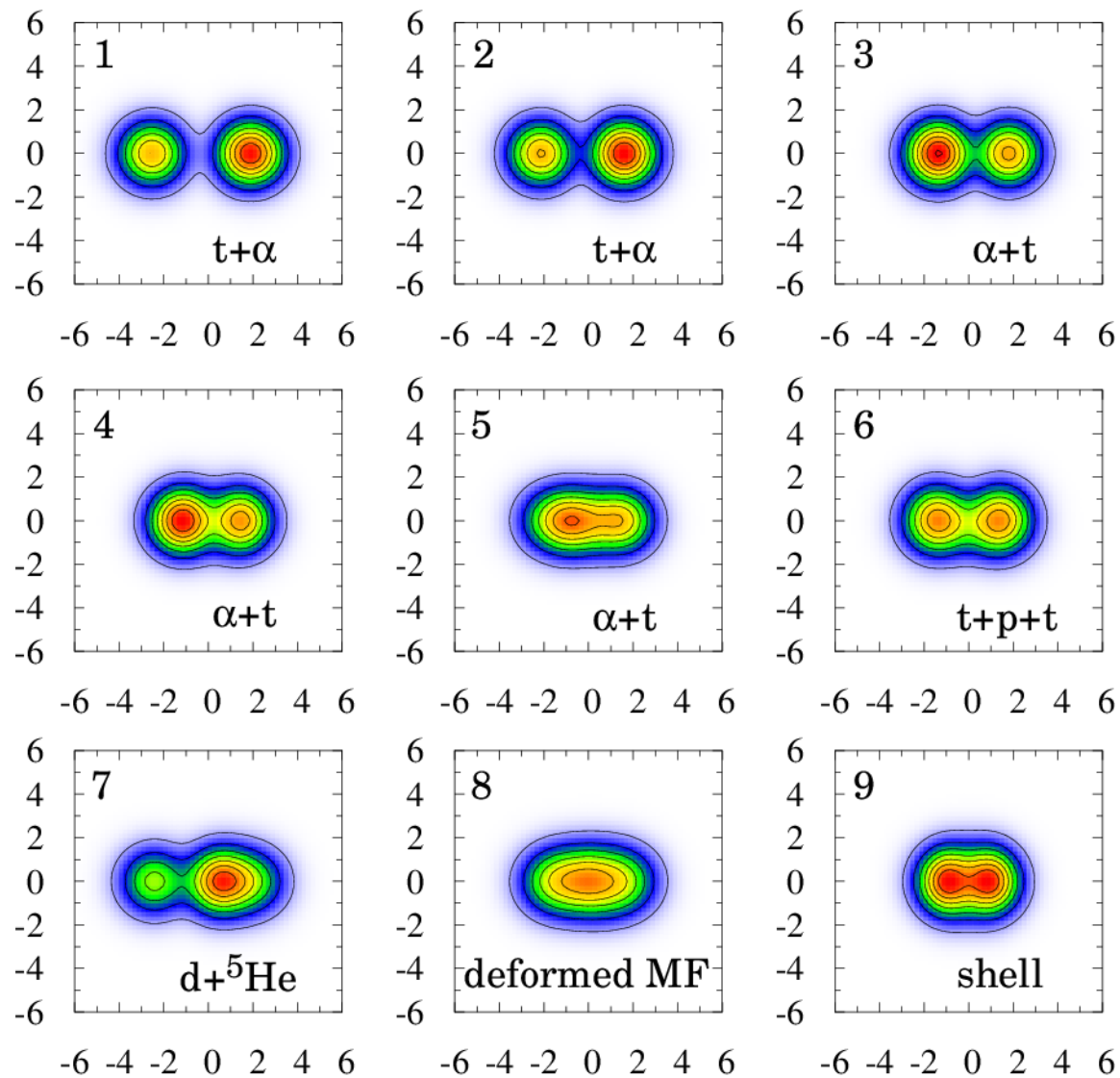
# Backup



# ${}^6\text{Li}$ Ground State



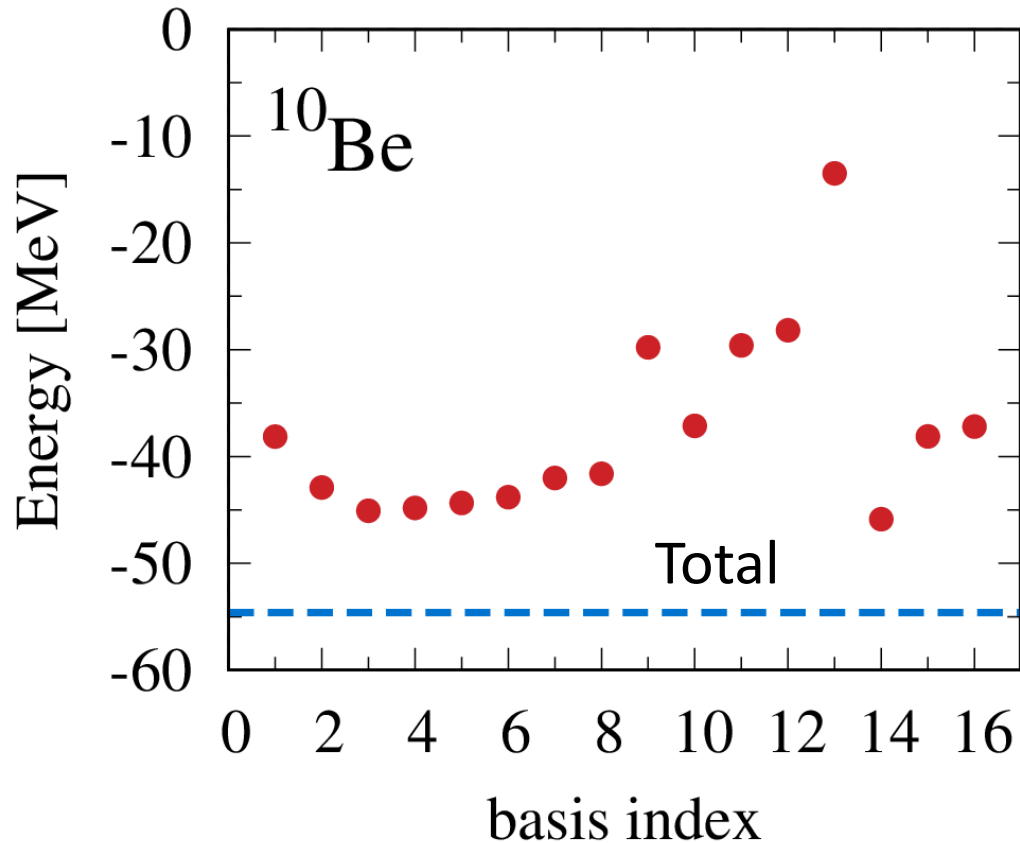
# ${}^7\text{Li}$ Ground State



# $^{10}\text{Be}$ Ground state with 16 bases

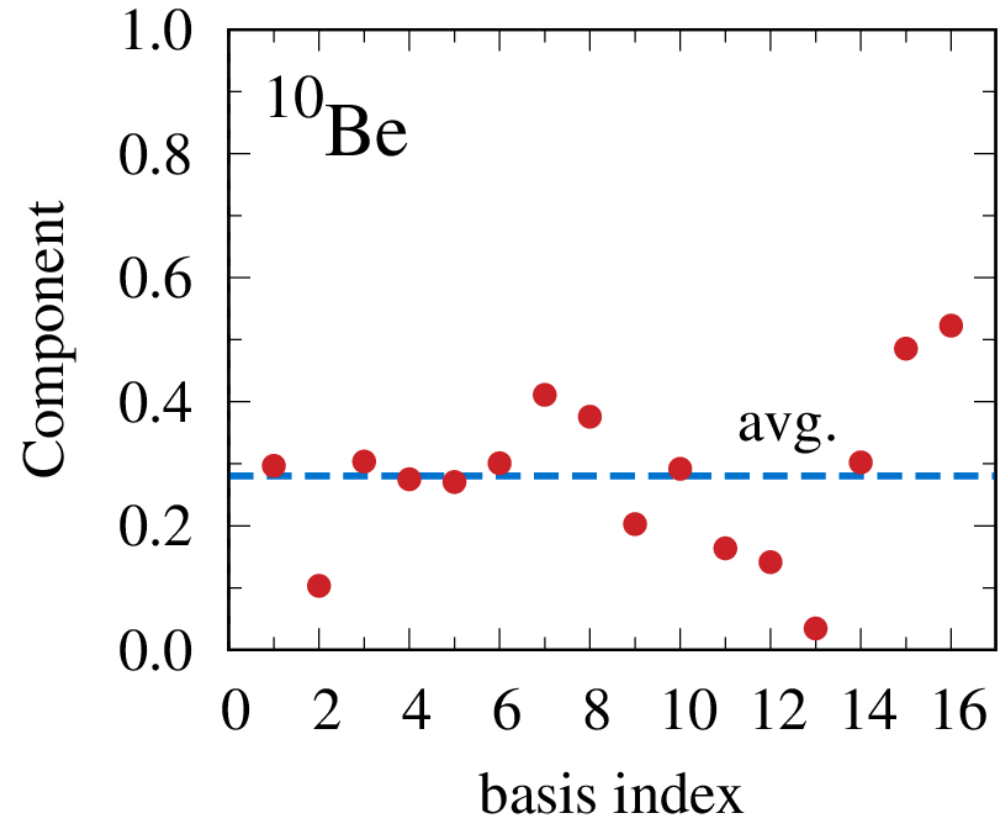
$$\Phi_{\text{total}} = \sum_n^N C_n \Phi_n$$

## Intrinsic energies (MeV)



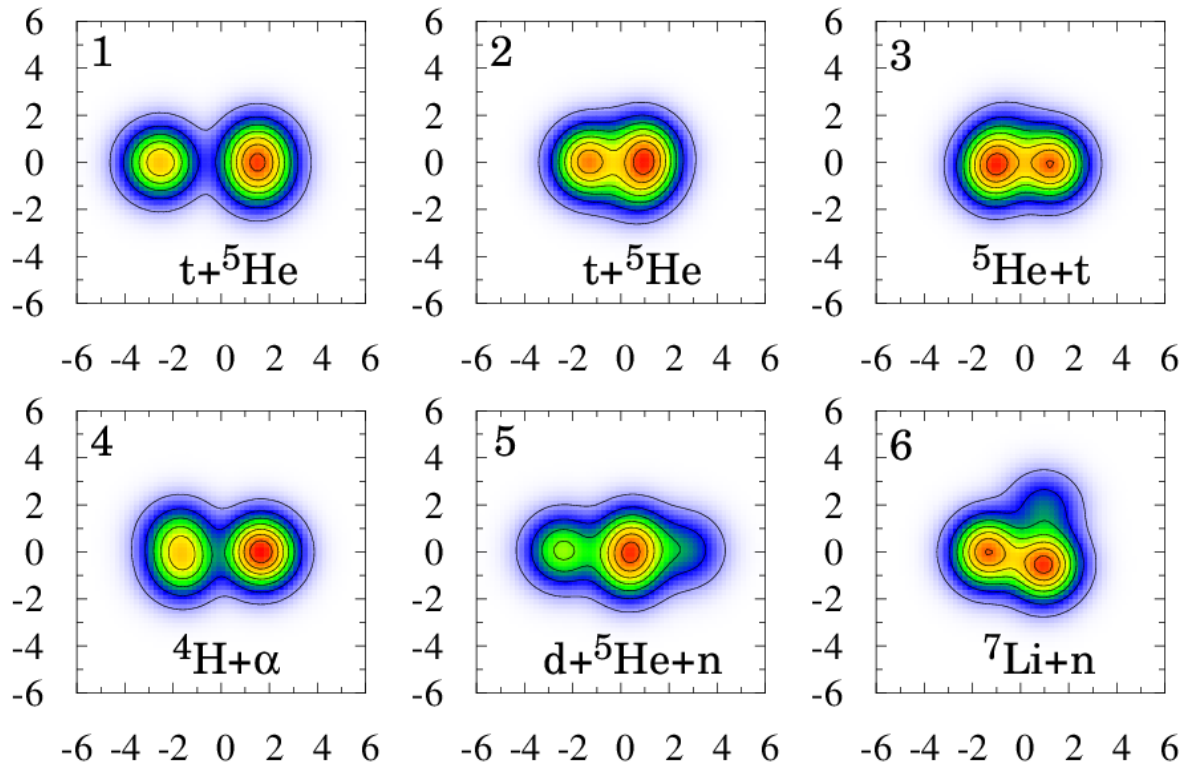
$$\Delta E_{\text{GCM}} = 10 \text{ MeV } (-45 \rightarrow -55)$$

## Components: $|\langle \Phi_n | \Phi \rangle|^2$

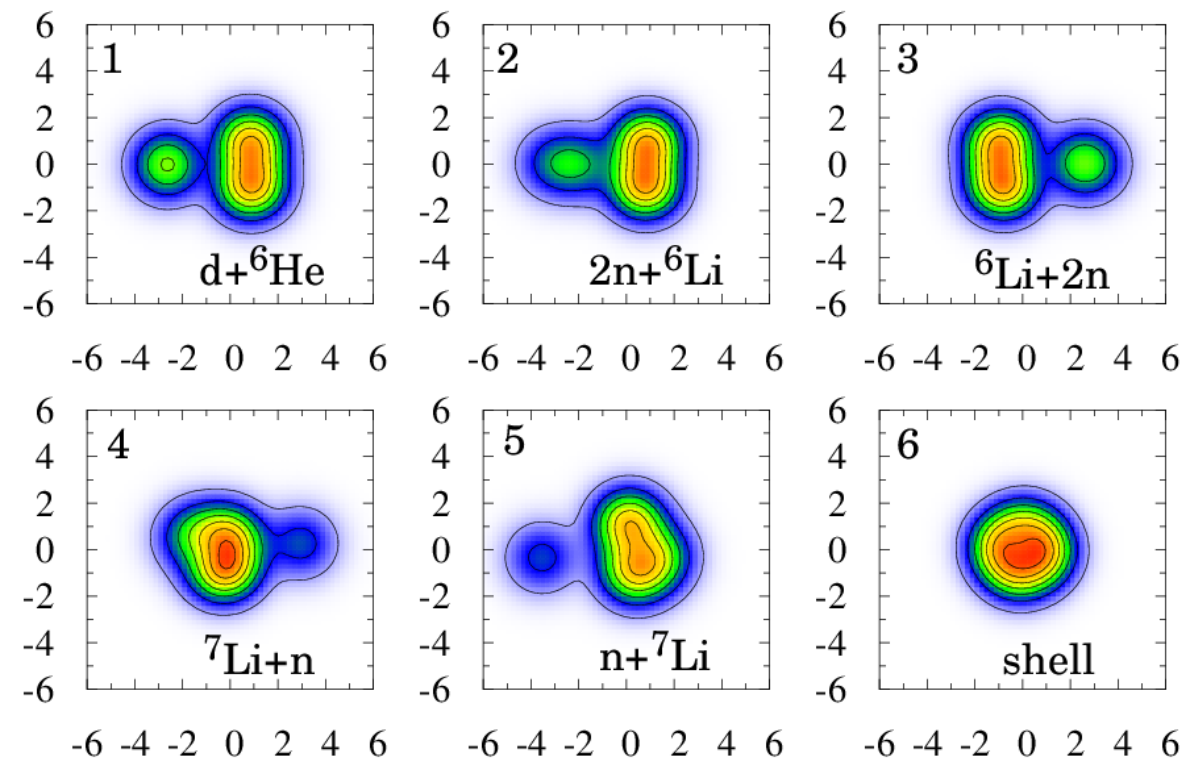


# $^8\text{Li}$ density

## Ground State



## Excited State



Experimental threshold energies:  $^7\text{Li}+n$  (2.0 MeV),  $\alpha+t+n$  (4.5 MeV),  $^6\text{He}+d$  (9.8 MeV)